

# Parametric Instability, Inverse Cascade, and the $1/f$ Range of Solar-Wind Turbulence

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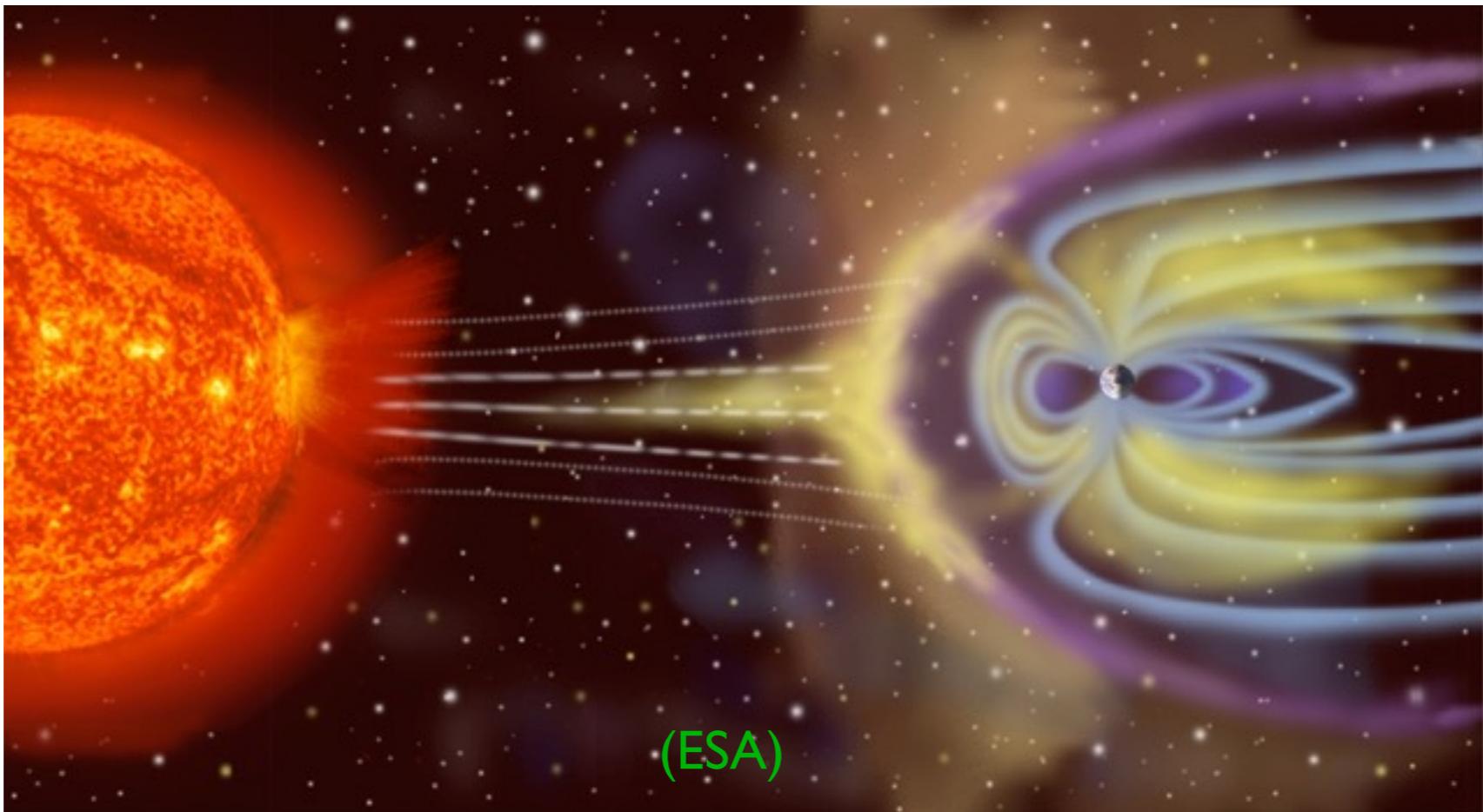
Princeton Plasma Physics Laboratory Theory Seminar

Feb. 22, 2018

# Outline

- Background on the Solar Wind
- Parametric instability and weak turbulence theory
- Nonlinear evolution of the parametric instability when slow waves are strongly damped
- Predictions

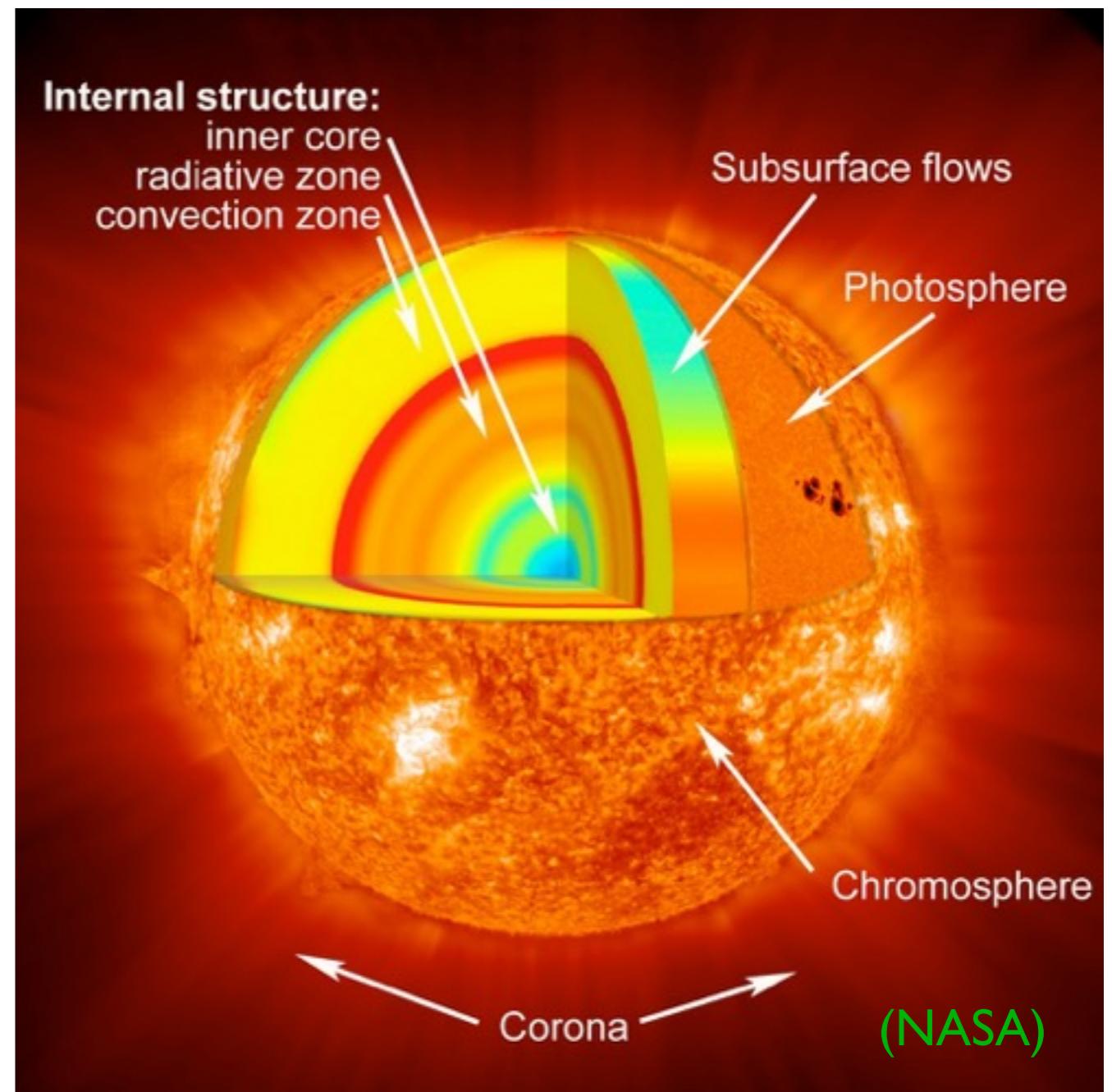
# What is the Solar Wind?



- Quasi-continuous, radial outflow of particles from the Sun
- Fast (300 - 800 km/s), hot and dilute ( $10^5$  K,  $5 \text{ cm}^{-3}$  at 1 AU)
- Plasma: behaves like a fluid, but it generates and is in turn influenced by electromagnetic fields

# The Solar Wind in Relation to the Sun

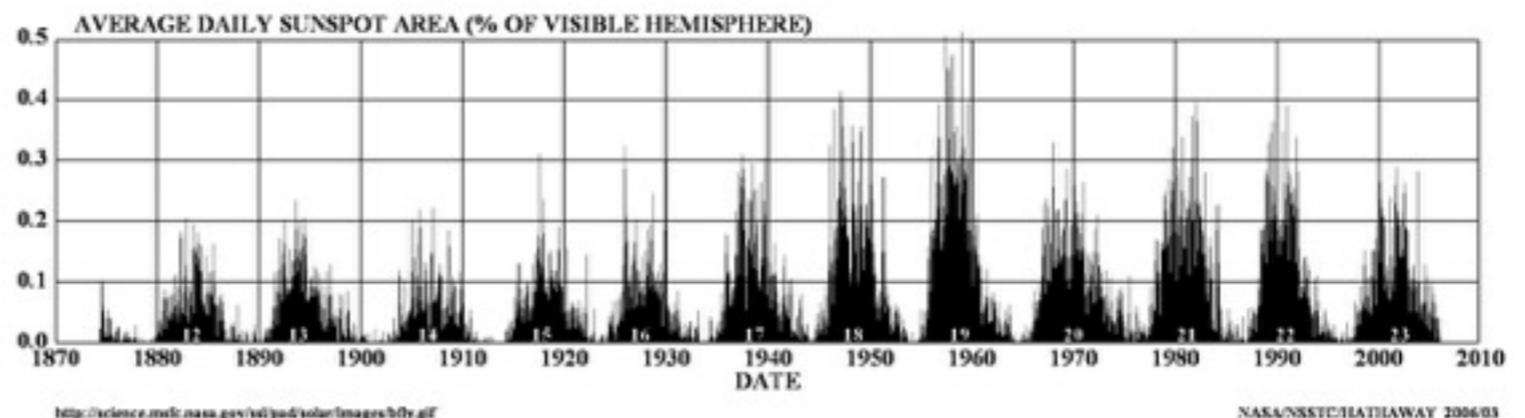
- it is the extension of the solar atmosphere
- represents about  $10^{-6}$  of the energy output of the Sun
- mass loss rate is about  $10^{-14} \text{ M}_{\text{Sun}} \text{ yr}^{-1}$



# Solar-Wind Structure Depends on the Solar Cycle



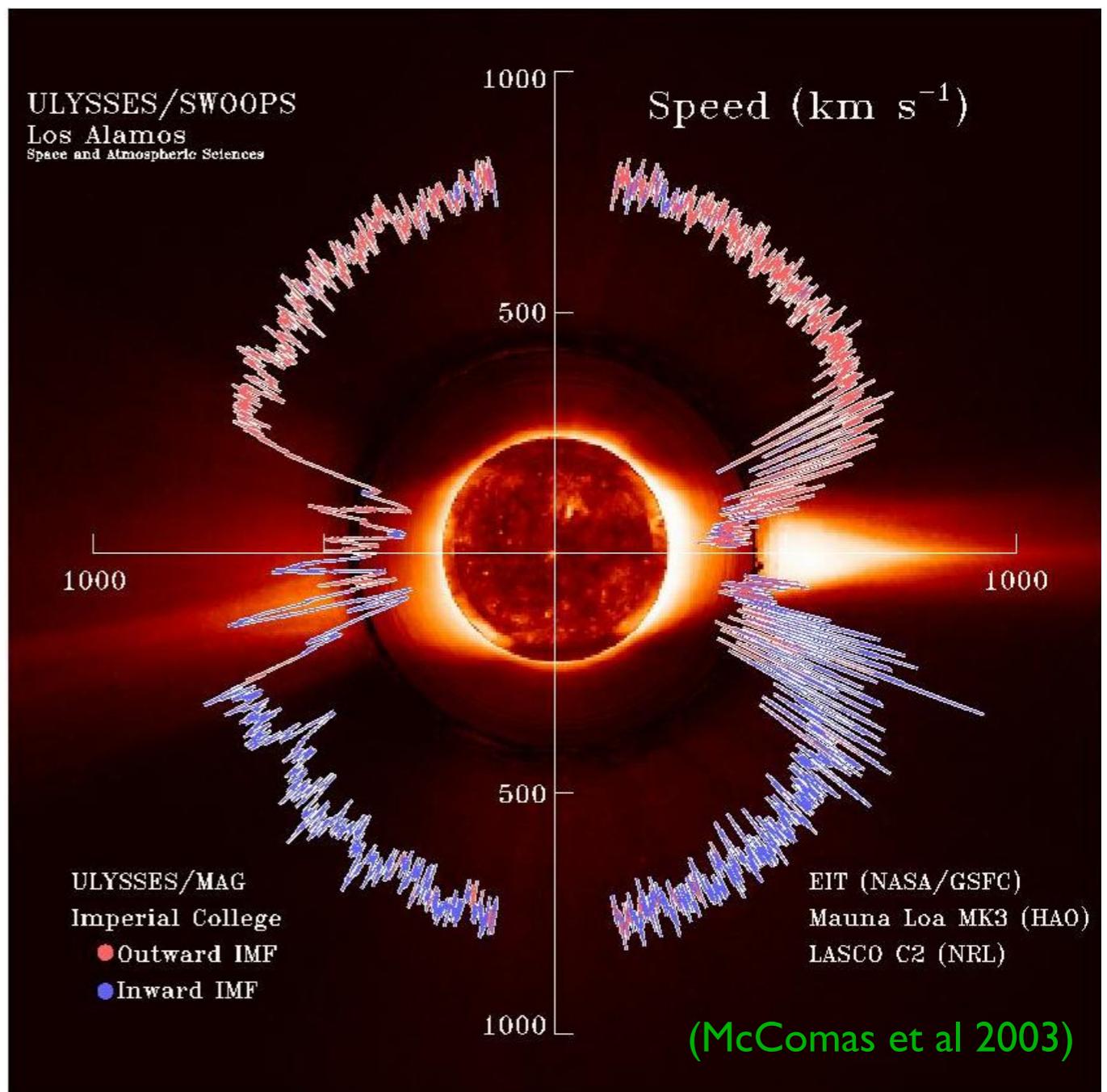
G. Piropol/stargazer.net



- “Solar minimum” - very few sunspots.
- “Solar maximum” - many sunspots, solar flares, and coronal mass ejections.

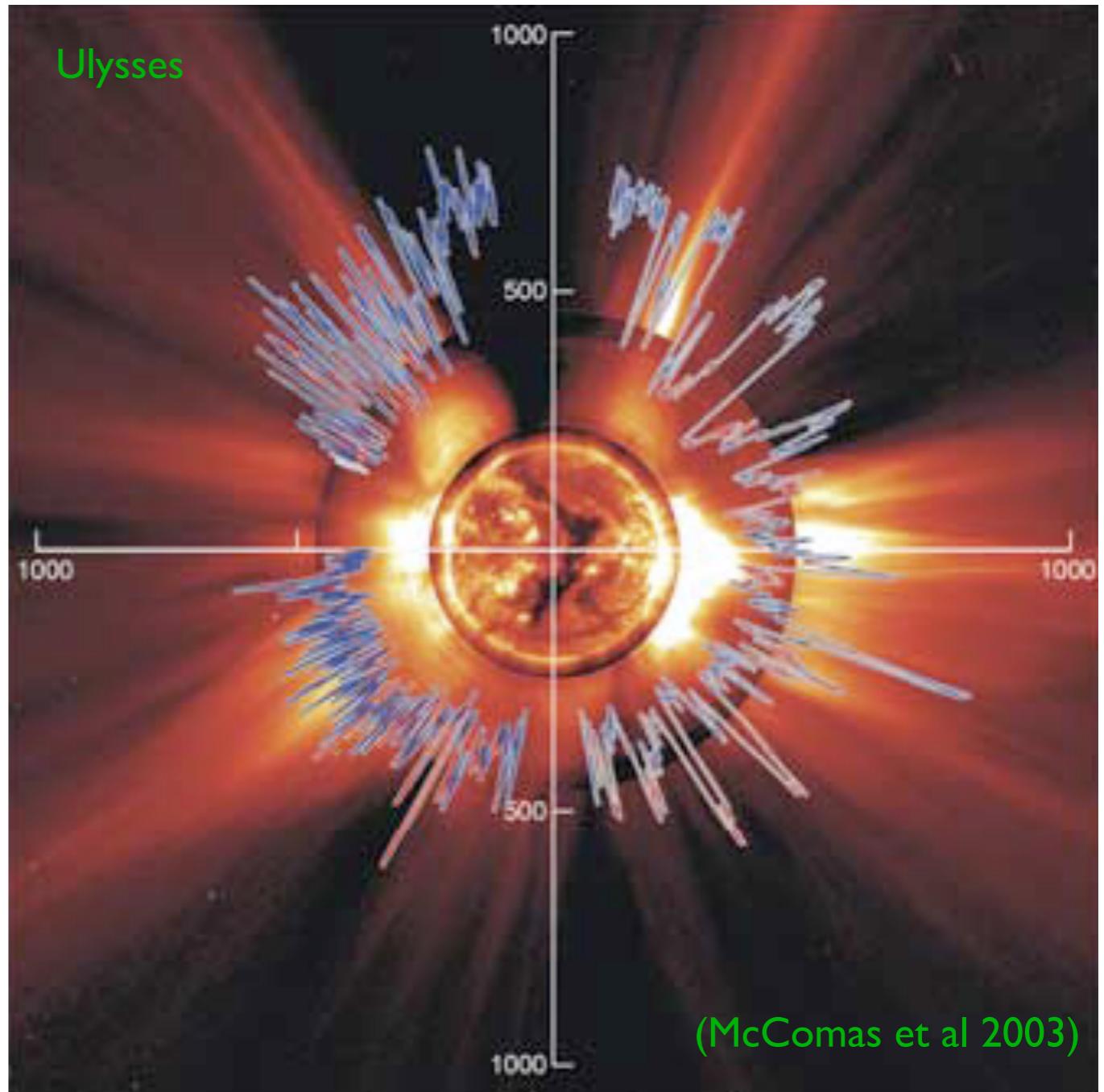
# 3D Structure Near Solar Minimum

- Fast wind (700-800 km/s) is the basic state of the flow near solar minimum
- Fast wind emanates primarily from open-field-line regions near the poles - “polar coronal holes”
- Slow wind (300-500 km/s) is confined to low latitudes (less so at solar maximum)

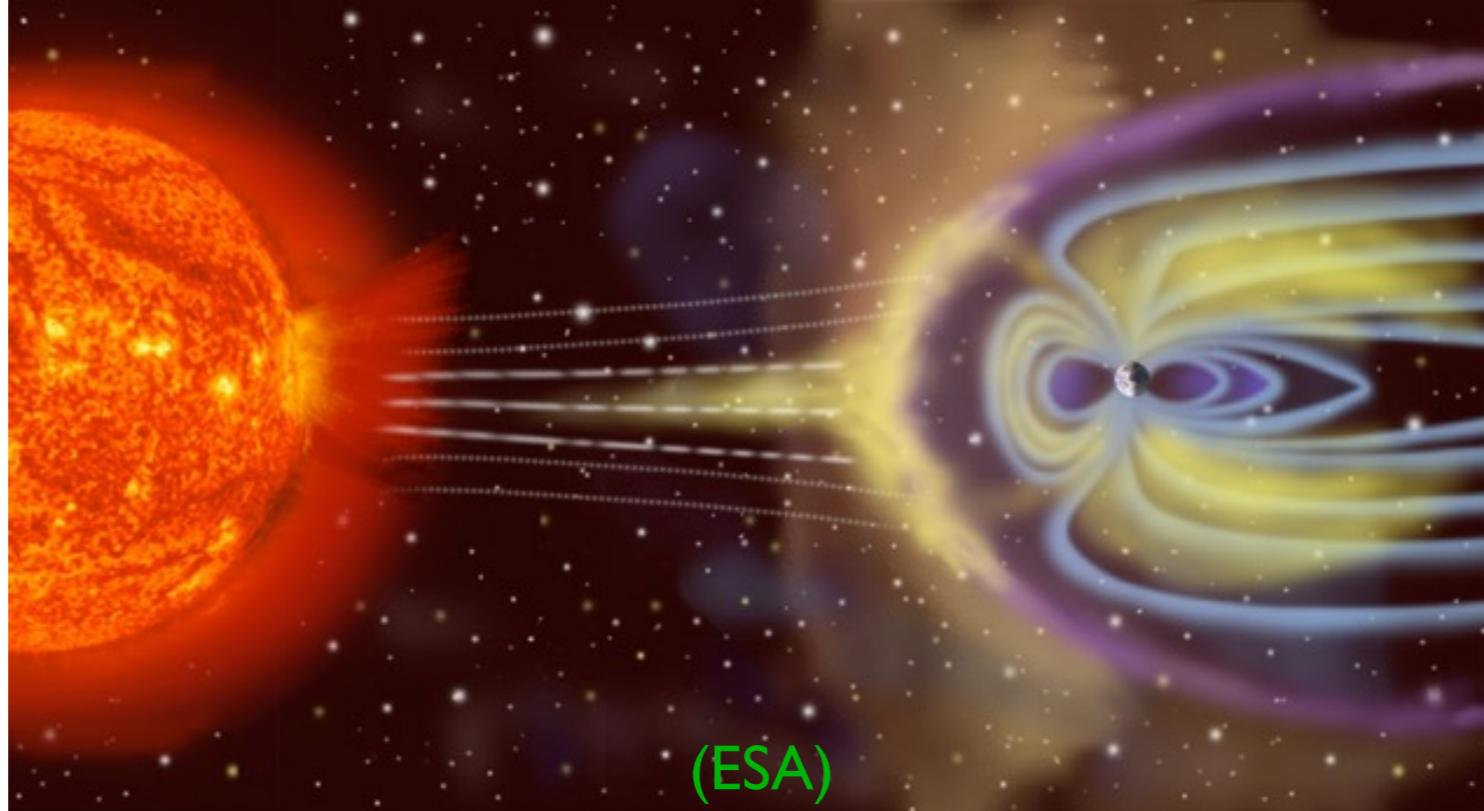


# 3D Structure Near Solar Maximum

- much more complex
- alternates between fast and slow wind at virtually all heliographic latitudes

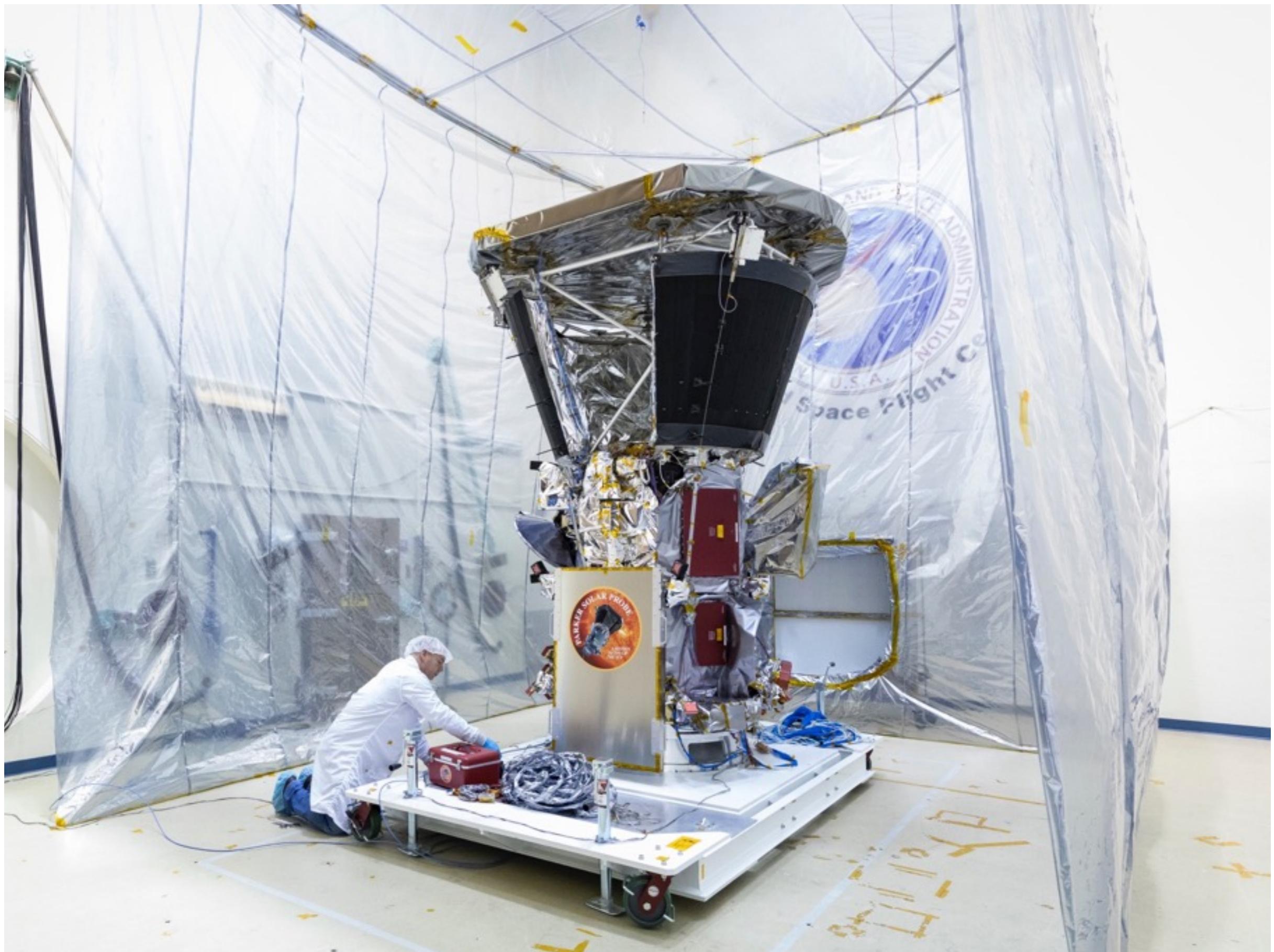


# Why Study the Solar Wind?



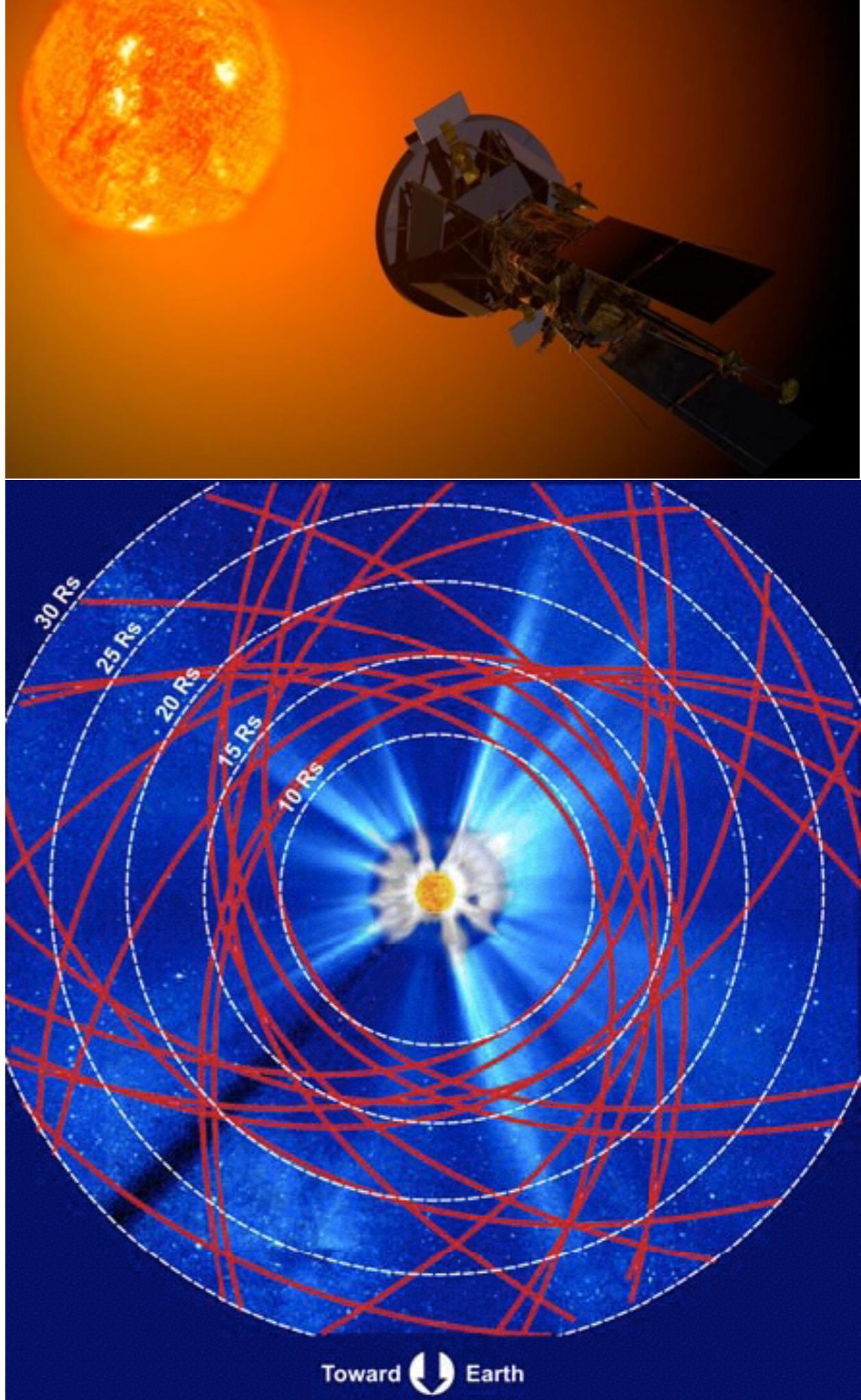
- It is a fundamental property of the Sun and a model for other astrophysical outflows.
- It is the backdrop for much of space physics - propagation of energetic particles, shock waves, space weather.
- Laboratory for plasma physics.

# NASA's Parker Solar Probe



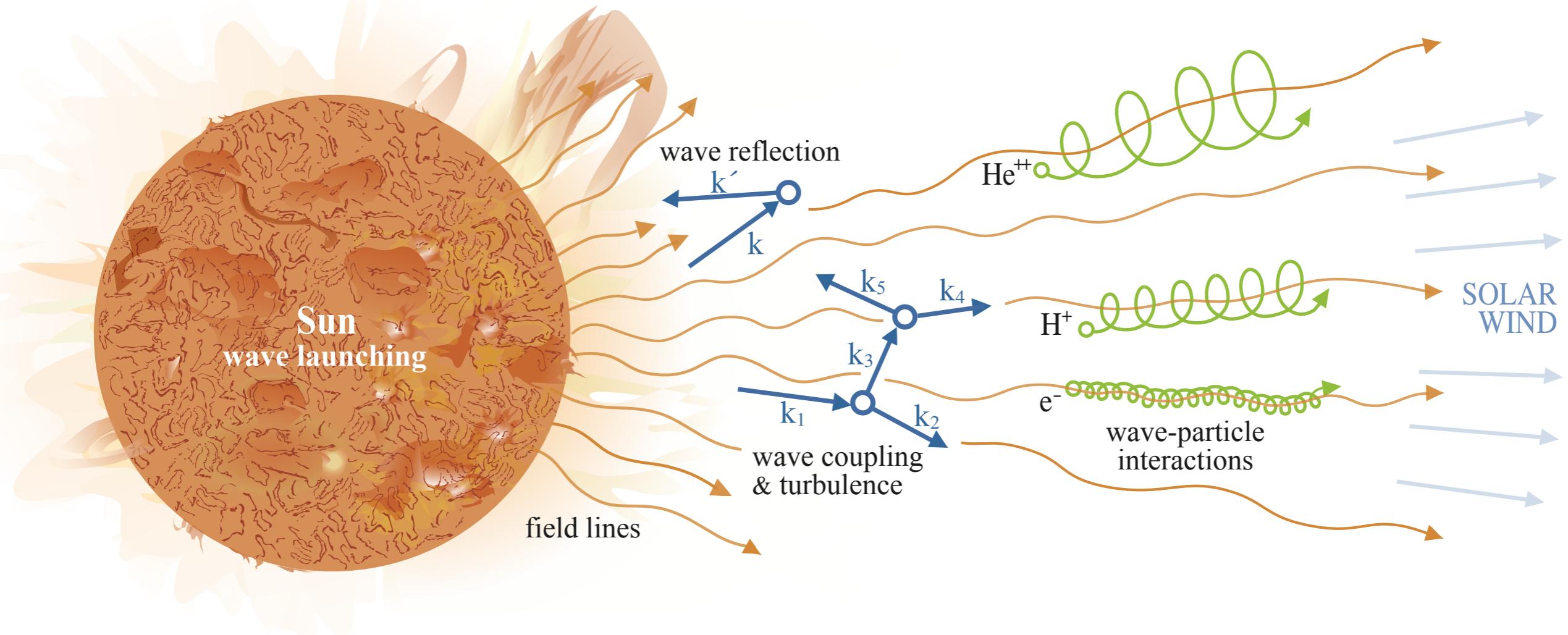
# Parker Solar Probe

- Several passes to within 10 solar radii of Sun.
- First in situ measurements ever of the solar-wind acceleration region.
- Will measure  $E$ ,  $B$ ,  $\mathbf{u}$ ,  $T$ ,  $f(v)$ , energetic particles.
- Could also provide important insights into other astrophysical systems.



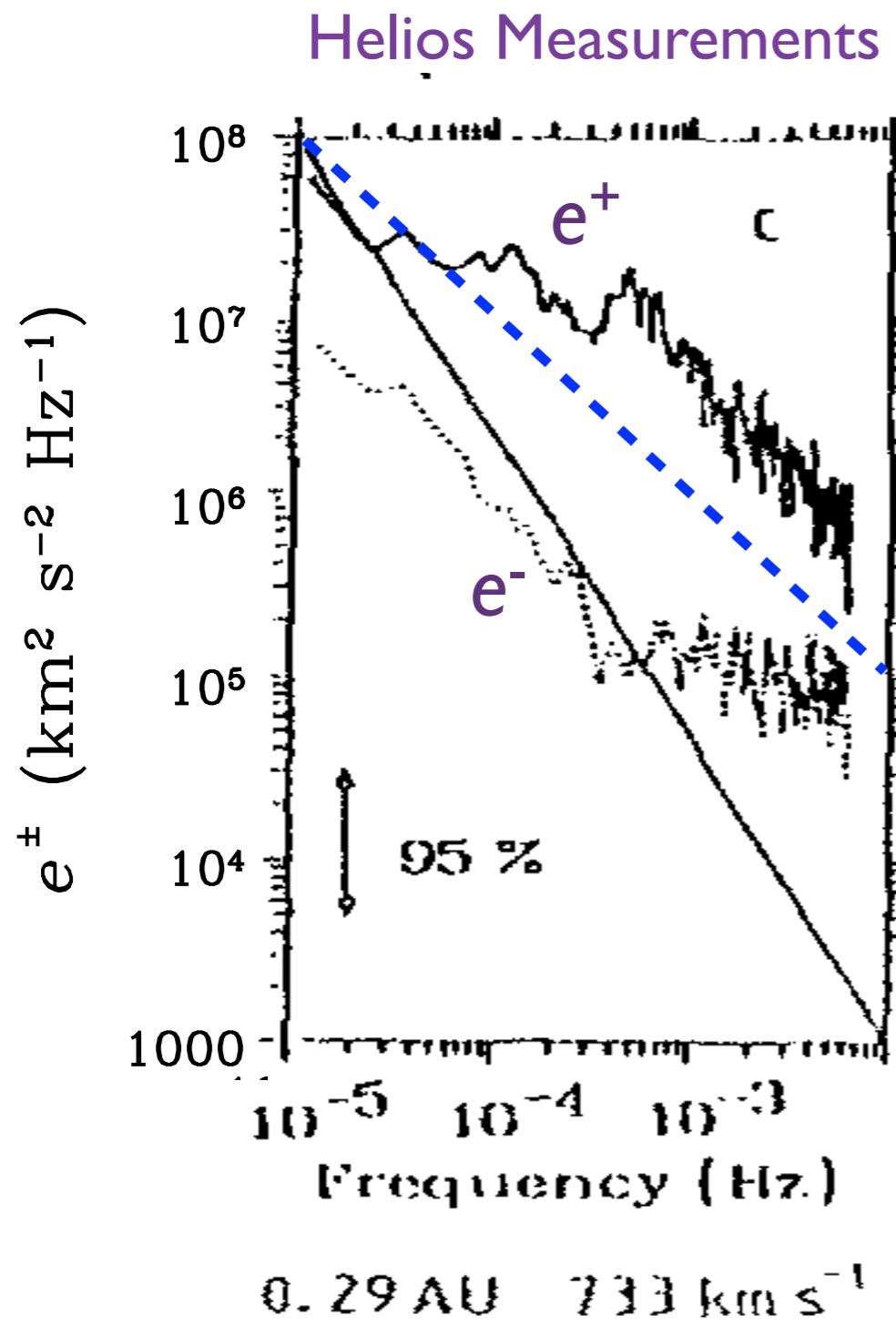
# Coronal Heating and Solar-Wind Acceleration by Waves

(Parker 1965, Coleman 1968, Velli et al 1989, Zhou & Matthaeus 1989, Cranmer et al 2007)



- The Sun launches Alfvén waves, which transport energy outwards
- The waves become turbulent, which causes wave energy to ‘cascade’ from long wavelengths to short wavelengths
- Short-wavelength waves dissipate, heating the plasma. This increases the thermal pressure, which, along with the wave pressure, accelerates the solar wind.

# In Situ Measurement of Magnetic Power Spectrum in Fast Solar Wind



Outward-propagating  
Alfven waves have a  $1/f$   
frequency spectrum  
at  $f > (1 \text{ hour})^{-1}$

Tu & Marsch (1995)

# Parametric Instability at Low $\beta$

- An outward-propagating Alfvén wave (AW) decays into an outward-propagating slow magnetosonic wave (“slow wave”) and an inward-propagating AW.
- This talk will focus on fast solar wind at  $r < 0.3$  AU.
- $\beta$  is assumed small. Not great at 0.3 AU, but better at smaller  $r$ , and possibly still relevant at 0.3 AU.

# Small Selection of Previous Work on the Parametric Instability

- **Linear stability analysis in MHD** (Oraevskii & Galeev 1963, Goldstein 1978, Inhester 1990, Hollweg 1994)
- **Linear stability analysis in drift-kinetic theory** (Inhester 1990)
- **MHD simulations** (Malara et al 2000, del Zanna et al 2001, Shi et al 2017)
- **Hybrid simulations** (Terasawa et al 1986, Vasquez 1994, Araneda et al 2008, Maneva et al 2013)
- **Parametric instability in the presence of strong slow-wave damping** (Cohen & Dewar 1974)
- **Temperature anisotropy and arc polarization** (Tenerani & Velli 2017)

# Weak Turbulence Theory?

- $\delta v_{\text{rms}} \sim 60 \text{ km/s}$  in fast wind at 0.4 AU (Bavassano et al 2000)
- $v_A \sim 150 \text{ km/s}$  in fast wind at 0.3 AU (Marsch et al 1982, 1990)
- $v_A \sim 1/r \rightarrow v_A \sim 120 \text{ km/s}$  in fast wind at 0.4 AU
- $\omega_{\text{nl}}/\omega_{\text{linear}} \sim (\delta v_{\text{rms}}/v_A)^2 \sim 1/4$  at 0.4 AU. Smaller at smaller  $r$ .
- $\rightarrow$  weak turbulence theory is perhaps useful
- resonant interactions between 3 AWs involve a zero-frequency mode, violate weak-turbulence ordering
- parametric instability does not involve a zero-frequency mode.

# Weak Compressible MHD Turbulence at Low Beta

- Perturbation theory to describe wave-wave interactions. ( $\omega_{\text{nonlinear}} \ll \omega_{\text{linear}}$ )
- Add collisionless damping terms post facto. (Strong slow-wave damping.)
- Resonant 3-wave interactions:
  - $\omega_k = \omega_p + \omega_q$
  - $\vec{k} = \vec{p} + \vec{q}$
- AAA interactions = interactions among 3 Alfvén waves
- FFF interactions = interactions among 3 fast waves
- AFF interactions - 2 fast waves and 1 Alfvén wave
- $A_k^\pm$  = 3D power spectrum of Alfvén waves propagating in  $\pm z$  direction.
- $S_k^\pm$  = 3D power spectrum of slow waves propagating in  $\pm z$  direction.
- $F_k$  = 3D power spectrum of fast waves propagating in  $\mathbf{k}$  direction.

$$\vec{B}_0 = B_0 \hat{z}$$



# Wave Kinetic Equations for Weak MHD Turbulence

(Chandran 2008)

$$\frac{\partial S_k^\pm}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left[ \delta(q_z) 4k_\perp^2 \bar{m}^2 (A_q^+ + A_q^-) (S_p^\pm - S_k^\pm) + \delta(p - q) k_z^2 l^2 F_p F_{-q} + \delta(p_z - q_z) k_z^2 l^2 A_p^+ A_q^- \right. \\ \left. + \delta(p_z + q) k_z^2 l^2 (A_p^+ F_q + A_p^- F_{-q}) + \delta(p_z - q) k_z^2 l^2 (A_p^+ F_{-q} + A_p^- F_q) \right] - 2\gamma_{s,k}^\pm S_k^\pm,$$

$$\frac{\partial A_k^+}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ \delta(q_z) 8(k_\perp n \bar{m})^2 A_q^- (A_p^+ - A_k^+) + \delta(k_z + p_z + q) k_z \Lambda_{q-pk} (k_z A_p^- F_{-q} + p_z F_{-q} A_k^+ + q A_p^- A_k^+) \right. \\ + \delta(k_z + p_z - q) k_z \Lambda_{q-pk} (k_z A_p^- F_q + p_z F_q A_k^+ - q A_p^- A_k^+) + \delta(k_z - p + q) k_z M_{pk-q} (k_z F_p F_{-q} - p F_{-q} A_k^+ + q F_p A_k^+) \\ + \delta(q - k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_q + p_z q \frac{\partial F_q}{\partial q} \right] + \delta(q + k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_{-q} + p_z q \frac{\partial F_{-q}}{\partial q} \right] \\ + \varepsilon^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(q - k_z) \bar{m}^2 (F_q - A_k^+) + \delta(q + k_z) \bar{m}^2 (F_{-q} - A_k^+) + \delta(p_z) m^2 (A_q^+ - A_k^+) \right. \\ \left. + \delta(k_z + q_z) m^2 (A_q^- - A_k^+) \right] + \delta(q_z + k_z) 4k_z^2 A_k^+ \frac{\partial}{\partial q_z} (q_z A_q^-) \right\} - 2\gamma_{a,k}^+ A_k^+,$$

$$\frac{\partial F_k}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ 9 \sin^2 \theta \left[ \delta(k - p - q) k q F_p (F_q - F_k) + \delta(k + p - q) k (k F_{-p} F_q + p F_q F_k - q F_{-p} F_k) \right] \right. \\ + \delta(k - p_z + q_z) k \Lambda_{kpq} (k A_p^+ A_q^- - p_z A_q^- F_k + q_z A_p^+ F_k) + \delta(k - p_z - q) k M_{kpq} (k A_p^+ F_q - p_z F_q F_k - q A_p^+ F_k) \\ + \delta(k + p_z - q) k M_{-k-p-q} (k A_p^- F_q + p_z F_q F_k - q A_p^- F_k) + \delta(k - q) k^{-3} p_z F_k \left[ k_z \frac{\partial}{\partial q} (q^4 F_q) - k^2 q_z \frac{\partial}{\partial q} (q^2 F_q) \right] \\ + \varepsilon^{-2} k^2 (S_p^+ + S_p^-) \left[ \delta(k - q) m^2 (F_q - F_k) + \delta(k - q_z) \bar{m}^2 (A_q^+ - F_k) + \delta(k + q_z) \bar{m}^2 (A_q^- - F_k) \right] \\ \left. + \delta(k - q_z) p_z F_k \left( 2k_z A_q^+ + k p_z \frac{\partial A_q^+}{\partial q_z} \right) + \delta(k + q_z) p_z F_k \left( 2k_z A_q^- - k p_z \frac{\partial A_q^-}{\partial q_z} \right) \right\} - 2\gamma_{f,k} F_k,$$

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## perpendicular Alfvén-wave cascade

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**passive-scalar mixing**

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# Wave Kinetic Equations for Weak MHD Turbulence

(Chandran 2008)

$$\frac{\partial S_k^\pm}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left[ \delta(q_z) 4k_\perp^2 \bar{m}^2 (A_q^+ + A_q^-) (S_p^\pm - S_k^\pm) + \delta(p - q) k_z^2 l^2 F_p F_{-q} + \delta(p_z - q_z) k_z^2 l^2 A_p^+ A_q^- \right. \\ \left. + \delta(p_z + q) k_z^2 l^2 (A_p^+ F_q + A_p^- F_{-q}) + \delta(p_z - q) k_z^2 l^2 (A_p^+ F_{-q} + A_p^- F_q) \right] - 2\gamma_{s,k}^\pm S_k^\pm,$$

$$\frac{\partial A_k^+}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ \delta(q_z) 8(k_\perp n \bar{m})^2 A_q^- (A_p^+ - A_k^+) + \delta(k_z + p_z + q) k_z \Lambda_{q-pk} (k_z A_p^- F_{-q} + p_z F_{-q} A_k^+ + q A_p^- A_k^+) \right. \\ + \delta(k_z + p_z - q) k_z \Lambda_{q-pk} (k_z A_p^- F_q + p_z F_q A_k^+ - q A_p^- A_k^+) + \delta(k_z - p + q) k_z M_{pk-q} (k_z F_p F_{-q} - p F_{-q} A_k^+ + q F_p A_k^+) \\ + \delta(q - k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_q + p_z q \frac{\partial F_q}{\partial q} \right] + \delta(q + k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_{-q} + p_z q \frac{\partial F_{-q}}{\partial q} \right] \\ + \varepsilon^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(q - k_z) \bar{m}^2 (F_q - A_k^+) + \delta(q + k_z) \bar{m}^2 (F_{-q} - A_k^+) + \delta(p_z) m^2 (A_q^+ - A_k^+) \right. \\ \left. + \delta(k_z + q_z) m^2 (A_q^- - A_k^+) \right] + \delta(q_z + k_z) 4k_z^2 A_k^+ \frac{\partial}{\partial q_z} (q_z A_q^-) \right\} - 2\gamma_{a,k}^+ A_k^+,$$

“radial” fast-wave cascade

$$\frac{\partial F_k}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left[ 9 \sin^2 \theta \left[ \delta(k - p - q) k q F_p (F_q - F_k) + \delta(k + p - q) k (k F_{-p} F_q + p F_q F_k - q F_{-p} F_k) \right] \right. \\ + \delta(k - p_z + q_z) k \Lambda_{kpq} (k A_p^+ A_q^- - p_z A_q^- F_k + q_z A_p^+ F_k) + \delta(k - p_z - q) k M_{kpq} (k A_p^+ F_q - p_z F_q F_k - q A_p^+ F_k) \\ + \delta(k + p_z - q) k M_{-k-p-q} (k A_p^- F_q + p_z F_q F_k - q A_p^- F_k) + \delta(k - q) k^{-3} p_z F_k \left[ k_z \frac{\partial}{\partial q} (q^4 F_q) - k^2 q_z \frac{\partial}{\partial q} (q^2 F_q) \right] \\ + \varepsilon^{-2} k^2 (S_p^+ + S_p^-) \left[ \delta(k - q) m^2 (F_q - F_k) + \delta(k - q_z) \bar{m}^2 (A_q^+ - F_k) + \delta(k + q_z) \bar{m}^2 (A_q^- - F_k) \right] \\ \left. + \delta(k - q_z) p_z F_k \left( 2k_z A_q^+ + k p_z \frac{\partial A_q^+}{\partial q_z} \right) + \delta(k + q_z) p_z F_k \left( 2k_z A_q^- - k p_z \frac{\partial A_q^-}{\partial q_z} \right) \right\} - 2\gamma_{f,k} F_k,$$

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(Chandran 2008)

parametric instability

$$\frac{\partial S_k^\pm}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left[ \delta(q_z) 4k_\perp^2 \bar{m}^2 (A_q^+ + A_q^-) (S_p^\pm - S_k^\pm) + \delta(p - q) k_z^2 l^2 F_p F_{-q} + \delta(p_z - q_z) k_z^2 l^2 A_p^+ A_q^- \right. \\ \left. + \delta(p_z + q) k_z^2 l^2 (A_p^+ F_q + A_p^- F_{-q}) + \delta(p_z - q) k_z^2 l^2 (A_p^+ F_{-q} + A_p^- F_q) \right] - 2\gamma_{s,k}^\pm S_k^\pm,$$

$$\frac{\partial A_k^+}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ \delta(q_z) 8(k_\perp n \bar{m})^2 A_q^- (A_p^+ - A_k^+) + \delta(k_z + p_z + q) k_z \Lambda_{q-pk} (k_z A_p^- F_{-q} + p_z F_{-q} A_k^+ + q A_p^- A_k^+) \right. \\ + \delta(k_z + p_z - q) k_z \Lambda_{q-pk} (k_z A_p^- F_q + p_z F_q A_k^+ - q A_p^- A_k^+) + \delta(k_z - p + q) k_z M_{pk-q} (k_z F_p F_{-q} - p F_{-q} A_k^+ + q F_p A_k^+) \\ + \delta(q - k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_q + p_z q \frac{\partial F_q}{\partial q} \right] + \delta(q + k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_{-q} + p_z q \frac{\partial F_{-q}}{\partial q} \right] \\ - \varepsilon^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(q - k_z) \bar{m}^2 (F_q - A_k^+) + \delta(q + k_z) \bar{m}^2 (F_{-q} - A_k^+) + \delta(p_z) m^2 (A_q^+ - A_k^+) \right. \\ \left. + \delta(k_z + q_z) m^2 (A_q^- - A_k^+) \right] + \left. \delta(q_z + k_z) 4k_z^2 A_k^+ \frac{\partial}{\partial q_z} (q_z A_q^-) \right\} - 2\gamma_{a,k}^+ A_k^+,$$

parametric instability

$$\frac{\partial F_k}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ 9 \sin^2 \theta \left[ \delta(k - p - q) k q F_p (F_q - F_k) + \delta(k + p - q) k (k F_{-p} F_q + p F_q F_k - q F_{-p} F_k) \right] \right. \\ + \delta(k - p_z + q_z) k \Lambda_{kpq} (k A_p^+ A_q^- - p_z A_q^- F_k + q_z A_p^+ F_k) + \delta(k - p_z - q) k M_{kpq} (k A_p^+ F_q - p_z F_q F_k - q A_p^+ F_k) \\ + \delta(k + p_z - q) k M_{-k-p-q} (k A_p^- F_q + p_z F_q F_k - q A_p^- F_k) + \delta(k - q) k^{-3} p_z F_k \left[ k_z \frac{\partial}{\partial q} (q^4 F_q) - k^2 q_z \frac{\partial}{\partial q} (q^2 F_q) \right] \\ + \varepsilon^{-2} k^2 (S_p^+ + S_p^-) \left[ \delta(k - q) m^2 (F_q - F_k) + \delta(k - q_z) \bar{m}^2 (A_q^+ - F_k) + \delta(k + q_z) \bar{m}^2 (A_q^- - F_k) \right] \\ \left. + \delta(k - q_z) p_z F_k \left( 2k_z A_q^+ + k p_z \frac{\partial A_q^+}{\partial q_z} \right) + \delta(k + q_z) p_z F_k \left( 2k_z A_q^- - k p_z \frac{\partial A_q^-}{\partial q_z} \right) \right\} - 2\gamma_{f,k} F_k,$$

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$$\frac{\partial S_k^\pm}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left[ \delta(q_z) 4k_\perp^2 \bar{m}^2 (A_q^+ + A_q^-) (S_p^\pm - S_k^\pm) + \delta(p - q) k_z^2 l^2 F_p F_{-q} + \delta(p_z - q_z) k_z^2 l^2 A_p^+ A_q^- \right. \\ \left. + \delta(p_z + q) k_z^2 l^2 (A_p^+ F_q + A_p^- F_{-q}) + \delta(p_z - q) k_z^2 l^2 (A_p^+ F_{-q} + A_p^- F_q) \right] - 2\gamma_{s,k}^\pm S_k^\pm,$$

$$\frac{\partial A_k^+}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ \delta(q_z) 8(k_\perp n \bar{m})^2 A_q^- (A_p^+ - A_k^+) + \delta(k_z + p_z + q) k_z \Lambda_{q-pk} (k_z A_p^- F_{-q} + p_z F_{-q} A_k^+ + q A_p^- A_k^+) \right. \\ + \delta(k_z + p_z - q) k_z \Lambda_{q-pk} (k_z A_p^- F_q + p_z F_q A_k^+ - q A_p^- A_k^+) + \delta(k_z - p + q) k_z M_{pk-q} (k_z F_p F_{-q} - p F_{-q} A_k^+ + q F_p A_k^+) \\ + \delta(q - k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_q + p_z q \frac{\partial F_q}{\partial q} \right] + \delta(q + k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_{-q} + p_z q \frac{\partial F_{-q}}{\partial q} \right] \\ + \varepsilon^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(q - k_z) \bar{m}^2 (F_q - A_k^+) + \delta(q + k_z) \bar{m}^2 (F_{-q} - A_k^+) + \delta(p_z) m^2 (A_q^+ - A_k^+) \right. \\ \left. + \delta(k_z + q_z) m^2 (A_q^- - A_k^+) \right] + \boxed{\delta(q_z + k_z) 4k_z^2 A_k^+ \frac{\partial}{\partial q_z} (q_z A_q^-)} \left. \right\} - 2\gamma_{a,k}^+ A_k^+, \quad \text{parametric instability when slow waves are strongly damped}$$

$$\frac{\partial F_k}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ 9 \sin^2 \theta \left[ \delta(k - p - q) k q F_p (F_q - F_k) + \delta(k + p - q) k (k F_{-p} F_q + p F_q F_k - q F_{-p} F_k) \right] \right. \\ + \delta(k - p_z + q_z) k \Lambda_{kpq} (k A_p^+ A_q^- - p_z A_q^- F_k + q_z A_p^+ F_k) + \delta(k - p_z - q) k M_{kpq} (k A_p^+ F_q - p_z F_q F_k - q A_p^+ F_k) \\ + \delta(k + p_z - q) k M_{-k-p-q} (k A_p^- F_q + p_z F_q F_k - q A_p^- F_k) + \delta(k - q) k^{-3} p_z F_k \left[ k_z \frac{\partial}{\partial q} (q^4 F_q) - k^2 q_z \frac{\partial}{\partial q} (q^2 F_q) \right] \\ + \varepsilon^{-2} k^2 (S_p^+ + S_p^-) \left[ \delta(k - q) m^2 (F_q - F_k) + \delta(k - q_z) \bar{m}^2 (A_q^+ - F_k) + \delta(k + q_z) \bar{m}^2 (A_q^- - F_k) \right] \\ \left. + \delta(k - q_z) p_z F_k \left( 2k_z A_q^+ + k p_z \frac{\partial A_q^+}{\partial q_z} \right) + \delta(k + q_z) p_z F_k \left( 2k_z A_q^- - k p_z \frac{\partial A_q^-}{\partial q_z} \right) \right\} - 2\gamma_{f,k} F_k,$$

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$$\frac{\partial A_k^+}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ \delta(q_z) 8(k_\perp n \bar{m})^2 A_q^- (A_p^+ - A_k^+) + \delta(k_z + p_z + q_z) k_z A_{q-pk} (k_z A_p^- F_{-q} + p_z F_{-q} A_k^+ + q_z F_p A_k^+) \right. \\ + \delta(k_z + p_z - q_z) k_z A_{q-pk} (k_z A_p^- F_q + p_z F_q A_k^+ - q_z A_p^- A_k^+) + \delta(k_z - p_z + q_z) k_z M_{pk-q} (k_z F_p F_{-q} - p_z F_{-q} A_k^+ + q_z F_p A_k^+) \\ + \delta(q_z - k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_q + p_z q \frac{\partial F_q}{\partial q} \right] + \delta(q_z + k_z) p_z A_k^+ \left[ 2(k_z + p_z) F_{-q} + p_z q \frac{\partial F_{-q}}{\partial q} \right] \\ + c^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(q_z - k_z) \bar{m}^2 (F_q - A_k^+) + \delta(q_z + k_z) \bar{m}^2 (F_{-q} - A_k^+) + \delta(p_z) m^2 (A_q^+ - A_k^+) \right. \\ \left. + \delta(k_z + q_z) m^2 (A_q^- - A_k^+) \right] + \boxed{\delta(q_z + k_z) 4k_z^2 A_k^+ \frac{\partial}{\partial q_z} (q_z A_q^-)} \left. \right\} - 2\gamma_{a,k}^+ A_k^+,$$

parametric instability when slow waves are strongly damped

$$\frac{\partial F_k}{\partial t} = \frac{\pi}{4v_A} \int d^3p d^3q \delta(\mathbf{k} - \mathbf{p} - \mathbf{q}) \left\{ 9 \sin^2 \theta \left[ \delta(k_z - p_z - q_z) k_z F_p (F_q - F_k) + \delta(k_z + p_z - q_z) k_z (k_z F_{-p} F_q + p_z F_q F_k - q_z F_{-p} F_k) \right] \right. \\ + \delta(k_z - p_z + q_z) k_z A_{k-pq} (k_z A_p^+ A_q^- - p_z A_q^- F_k + q_z A_p^+ F_k) + \delta(k_z - p_z - q_z) k_z M_{kpq} (k_z A_p^+ F_q - p_z F_q F_k - q_z A_p^+ F_k) \\ + \delta(k_z + p_z - q_z) k_z M_{-k-p-q} (k_z A_p^- F_q + p_z F_q F_k - q_z A_p^- F_k) + \delta(k_z - q_z) k_z^{-3} p_z F_k \left[ k_z \frac{\partial}{\partial q} (q_z^4 F_q) - k_z^2 q_z \frac{\partial}{\partial q} (q_z^2 F_q) \right] \\ + c^{-2} k_z^2 (S_p^+ + S_p^-) \left[ \delta(k_z - q_z) m^2 (F_q - F_k) + \delta(k_z - q_z) \bar{m}^2 (A_q^+ - F_k) + \delta(k_z + q_z) \bar{m}^2 (A_q^- - F_k) \right] \\ \left. + \delta(k_z - q_z) p_z F_k \left( 2k_z A_q^+ + k_p \frac{\partial A_q^+}{\partial q_z} \right) + \delta(k_z + q_z) p_z F_k \left( 2k_z A_q^- - k_p \frac{\partial A_q^-}{\partial q_z} \right) \right\} - 2\gamma_{f,k} F_k,$$

## Integrate the Wave Kinetic Equations over $k_{\perp}$

$$E^{\pm}(k_z, t) = \int dk_x dk_y A^{\pm}(k_x, k_y, k_z, t)$$

$$\frac{\partial E^+}{\partial t} = \frac{\pi}{v_A} k_z^2 E^+ \frac{\partial}{\partial k_z} (k_z E^-)$$

$$\frac{\partial E^-}{\partial t} = \frac{\pi}{v_A} k_z^2 E^- \frac{\partial}{\partial k_z} (k_z E^+)$$

The wave kinetic equations allow for obliquely propagating waves, but these integrated equations depend only on the parallel wavenumber  $k_z$  and  $t$ .

# Alfven Wave Frequency Decreases Slightly During Each Parametric Decay

$$k_z = p_z + q_z$$

$$k_z v_A = p_z c_s - q_z v_A$$

$$k_z v_A = (k_z - q_z) c_s - q_z v_A$$

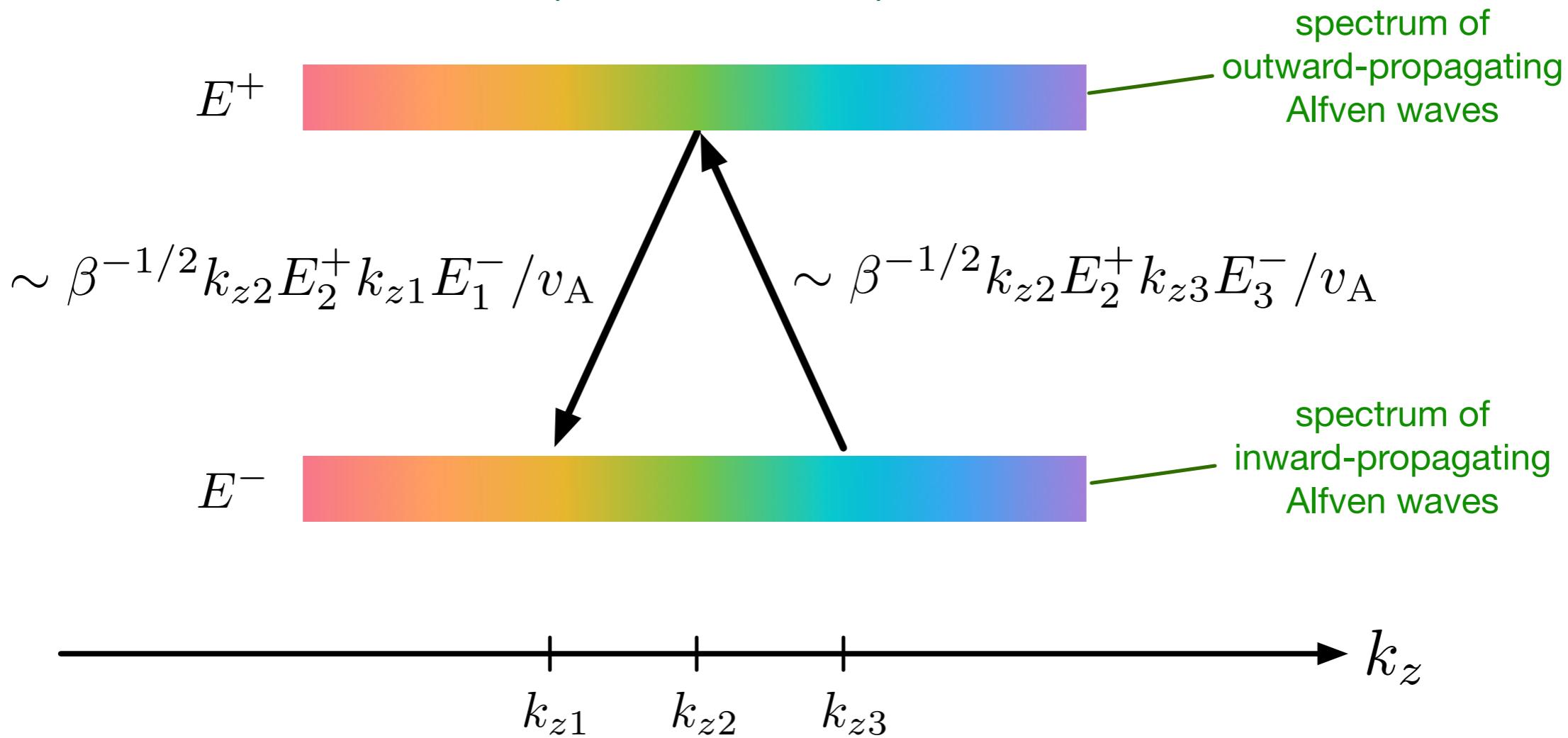
$$k_z (v_A - c_s) = -q_z (v_A + c_s)$$

$$k_z \left( \frac{v_A - c_s}{v_A + c_s} \right) = -q_z \quad (\text{Note: } c_s/v_A \sim \beta^{1/2} \ll 1)$$

$$k_z (1 - 2\beta^{1/2}) = -q_z$$

# Why Do the Wave Kinetic Equations Have This Form?

(Chandran 2018)



$$\frac{\partial E_2^+}{\partial t} \sim \frac{\beta^{-1/2} k_{z2} E_2^+}{v_A} (k_{z3} E_3^- - k_{z1} E_1^-)$$

$$k_{z3} - k_{z1} \sim \beta^{1/2} k_{z2}$$

$$\frac{\partial E_2^+}{\partial t} \sim \frac{\beta^{-1/2} k_{z2} E_2^+}{v_A} \times \beta^{1/2} k_{z2} \frac{\partial}{\partial k_z} (k_z E^-) \sim \frac{k_{z2}^2 E_2^+}{v_A} \frac{\partial}{\partial k_z} (k_z E^-)$$

# Linear Limit

“Pump-wave” amplitude fixed ( $E^+ = \text{constant}$ ):

$$\frac{\partial E^-}{\partial t} = \frac{\pi}{v_A} k_z^2 E^- \frac{\partial}{\partial k_z} (k_z E^+)$$

$$\gamma \equiv \frac{\partial \ln E^-}{\partial t} = \frac{\pi}{v_A} k_z^2 \frac{\partial}{\partial k_z} (k_z E^+)$$

$E^-$  grows exponentially if  $E^+ \propto k^{\alpha^+}$  with  $\alpha^+ > -1$ .

This result was found by Cohen & Dewar (1974) for parallel-propagating waves at low beta, assuming slow waves are strongly damped.

# Conservation of Wave Quanta and Inverse Cascade

(Chandran 2018)

$$E^\pm(k_z, t) = \int dk_x dk_y A^\pm(k_x, k_y, k_z, t)$$

$$\frac{\partial E^+}{\partial t} = \frac{\pi}{v_A} k_z^2 E^+ \frac{\partial}{\partial k_z} (k_z E^-)$$

$$\frac{\partial E^-}{\partial t} = \frac{\pi}{v_A} k_z^2 E^- \frac{\partial}{\partial k_z} (k_z E^+)$$

Divide previous two eqns by  $k_z v_A$  and add:

$$\frac{\partial}{\partial t} \left( \frac{E^+ + E^-}{k_z v_A} \right) = \frac{\partial}{\partial k_z} \left( \frac{\pi k_z^2 E^+ E^-}{v_A^2} \right)$$

inverse cascade  
of wave quanta

$$\rightarrow \int_{-\infty}^{\infty} dk_z \left( \frac{E^+ + E^-}{k_z v_A} \right) = \text{constant}$$

conservation  
of wave quanta  
(wave action)

# Exact Solutions to Wave Kinetic Equation

(Chandran 2018)

$$\frac{\partial E^+}{\partial t} = \frac{\pi}{v_A} k_z^2 E^+ \frac{\partial}{\partial k_z} (k_z E^-)$$

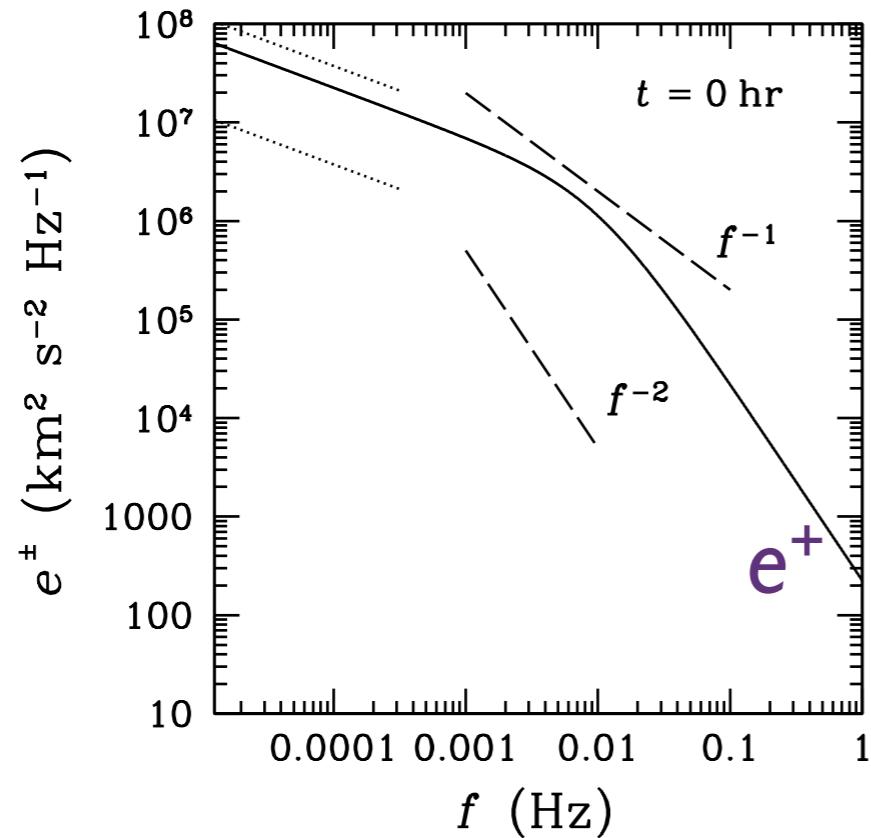
$$\frac{\partial E^-}{\partial t} = \frac{\pi}{v_A} k_z^2 E^- \frac{\partial}{\partial k_z} (k_z E^+)$$

$$E^\pm(k_z, t) = \frac{c^\pm}{k_z},$$

$$E^\pm(k_z, t) = \frac{a^\pm(t)}{k_z^2} \quad a^\pm(t) = \frac{a_0^\pm(a_0^\pm - a_0^\mp)}{a_0^\pm - a_0^\mp e^{-\pi(a_0^\pm - a_0^\mp)t/v_A}},$$

(can also construct truncated versions of these solutions, and combinations of  $k_z^{-1}$  and  $k_z^{-2}$  solutions)

# Numerical Solution of the Nonlinear Evolution

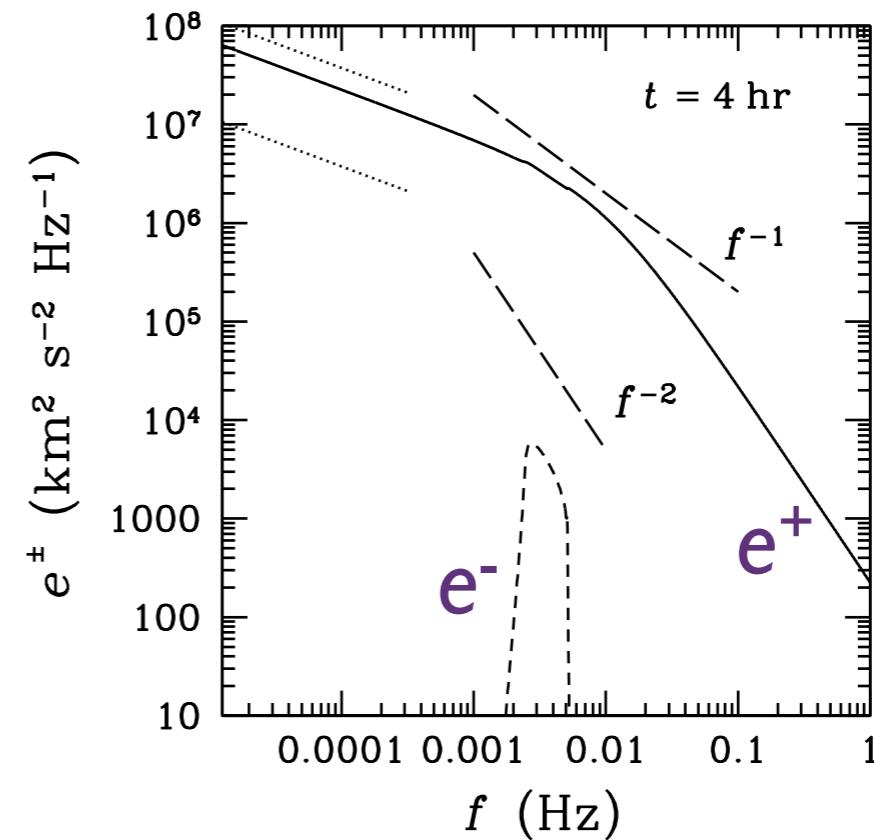
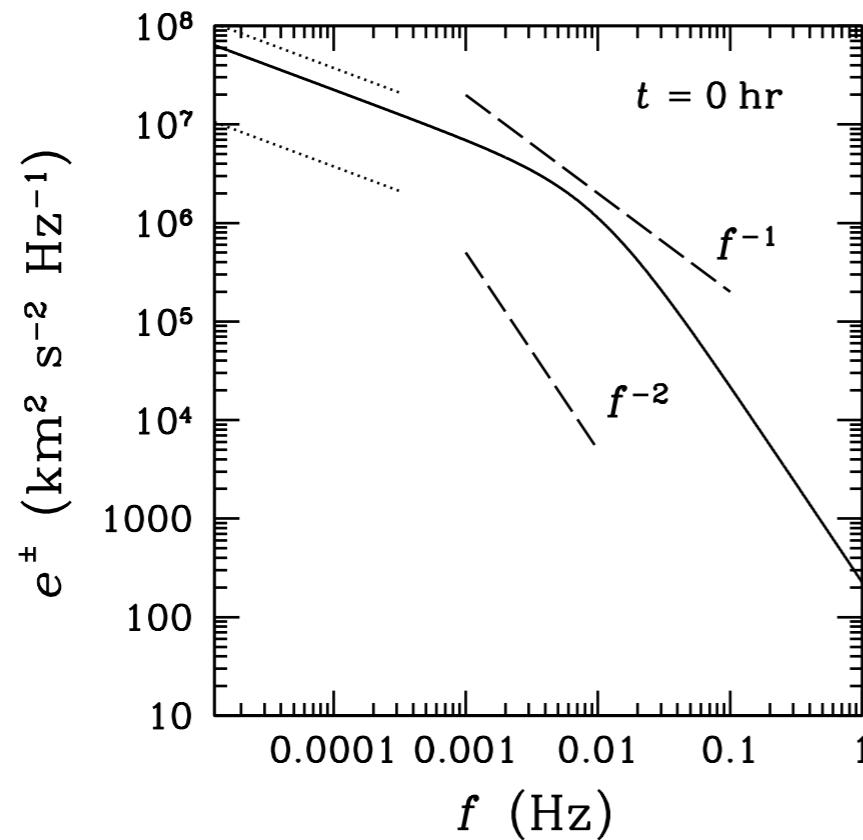


$e^\pm = \frac{2\pi E^\pm}{U} = \text{frequency spectrum}$   
(via Taylor's hypothesis.  
 $U = \text{solar-wind speed} = 733 \text{ km/s.}$ )

Alfven speed = 150 km/s. Initial dominant frequency (maximum of  $f_{ef}$ ) is 0.01 Hz.

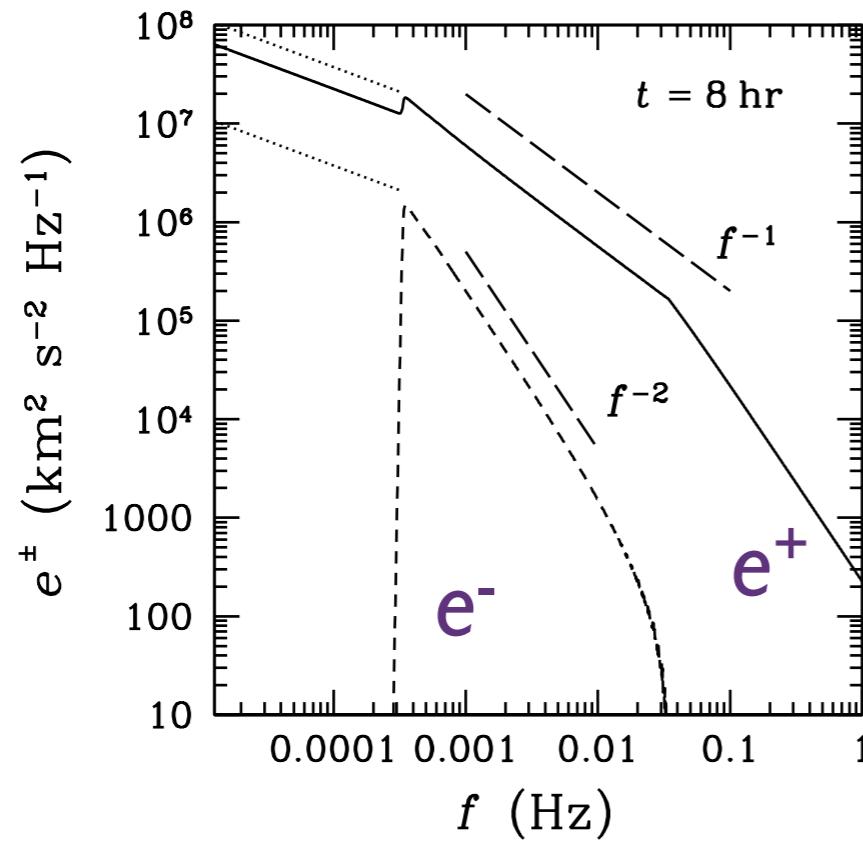
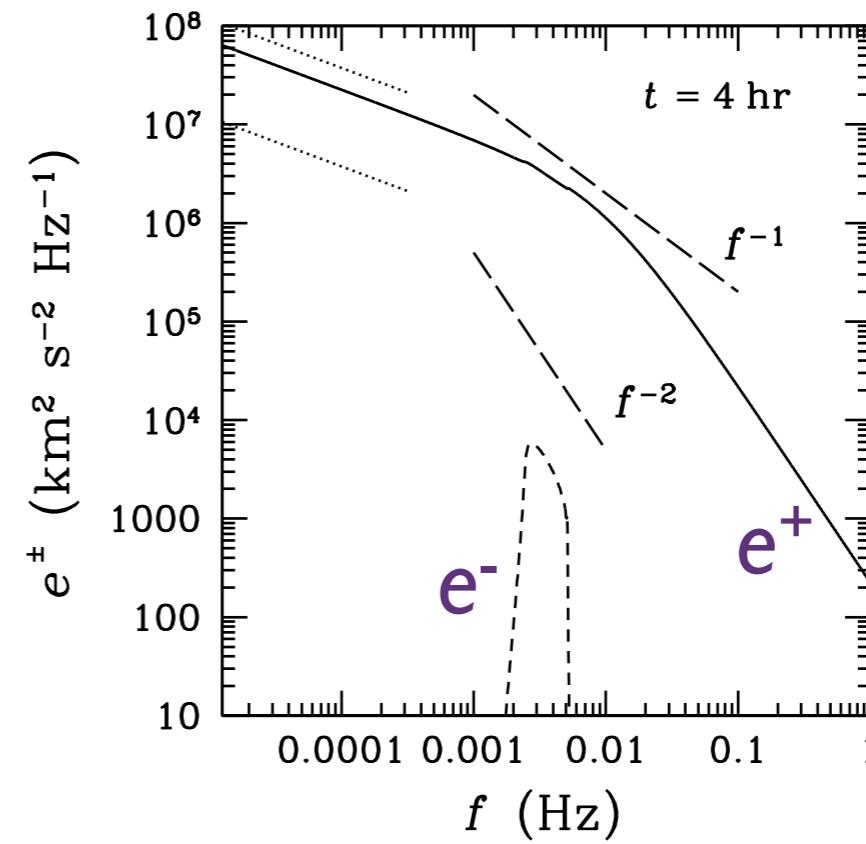
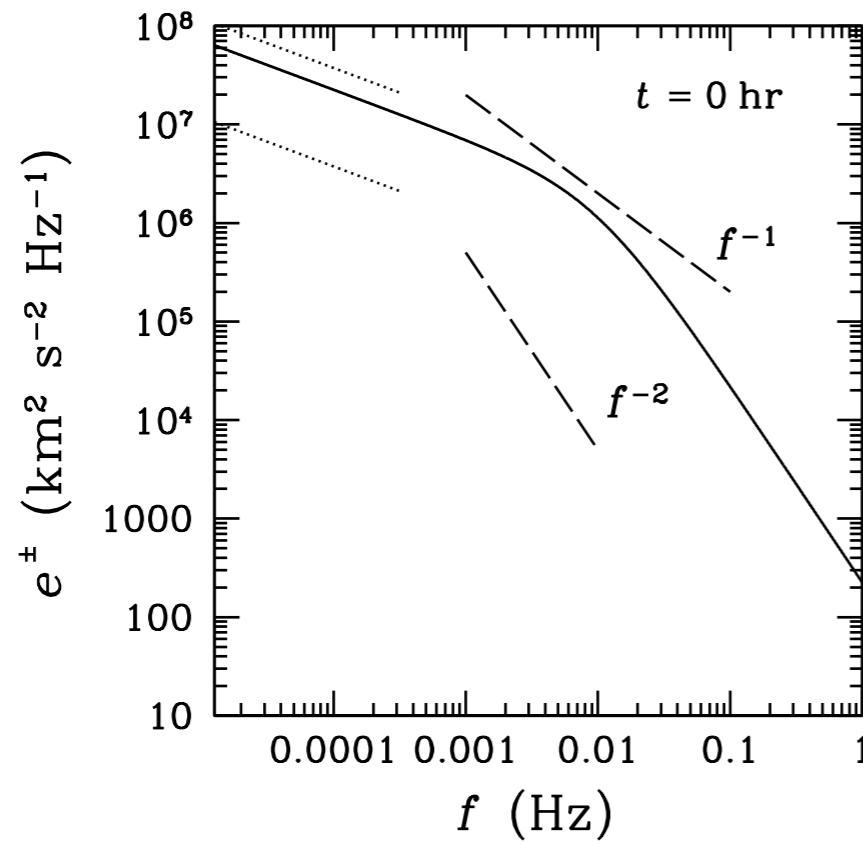
$$e^+(f, t = 0) = \frac{\sigma^+ (f/f_0)^{-0.5}}{1 + (f/f_0)^{1.5}}$$

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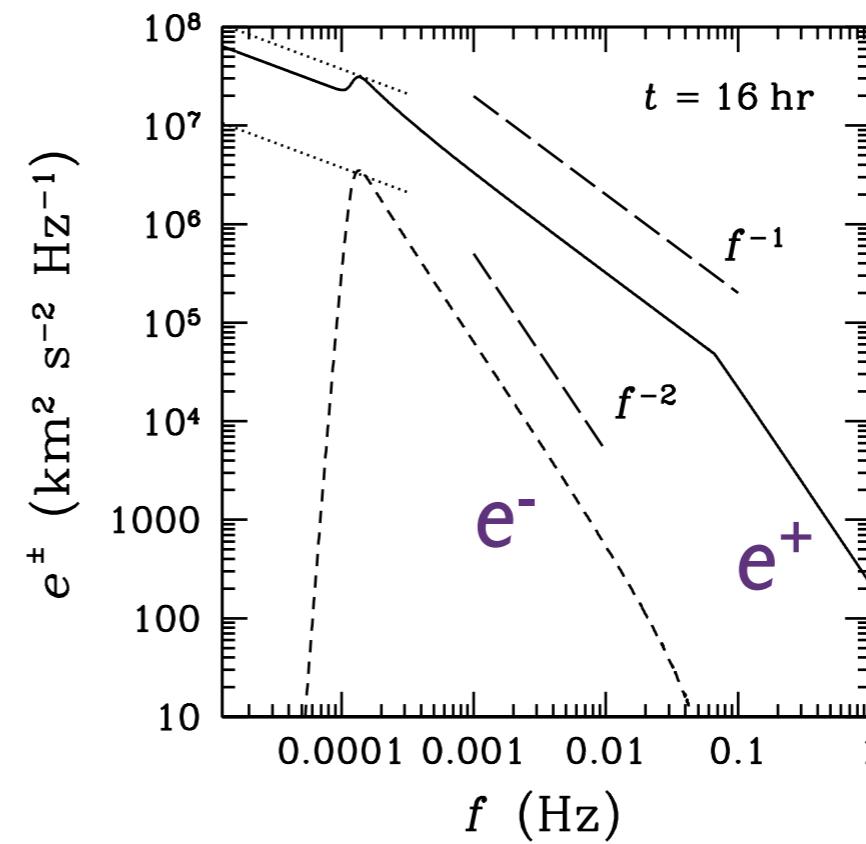
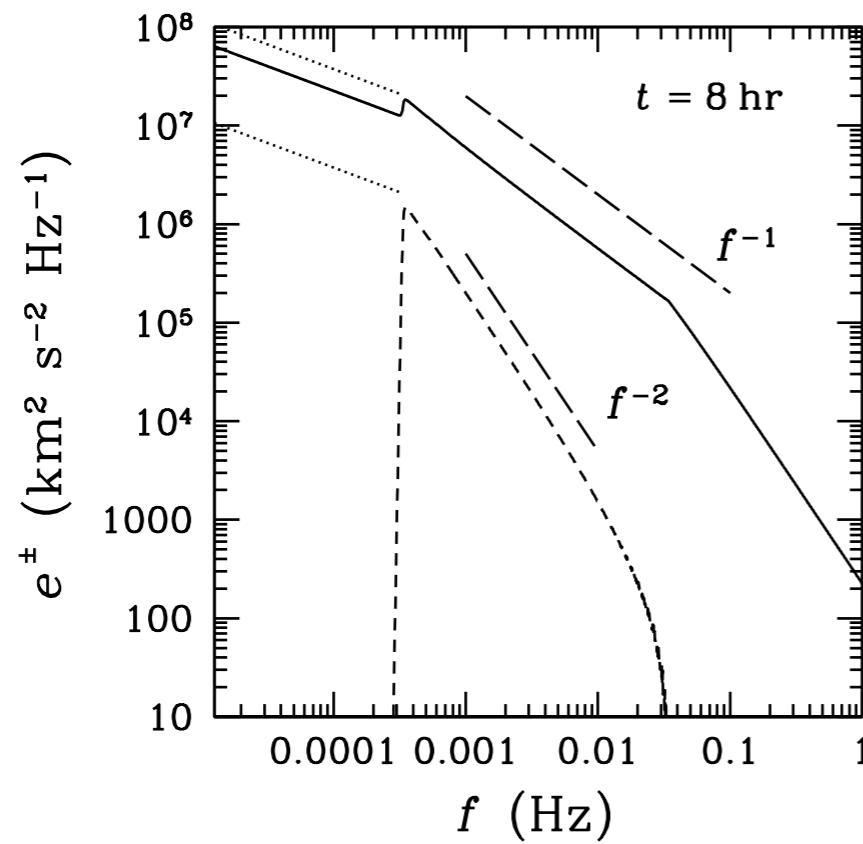
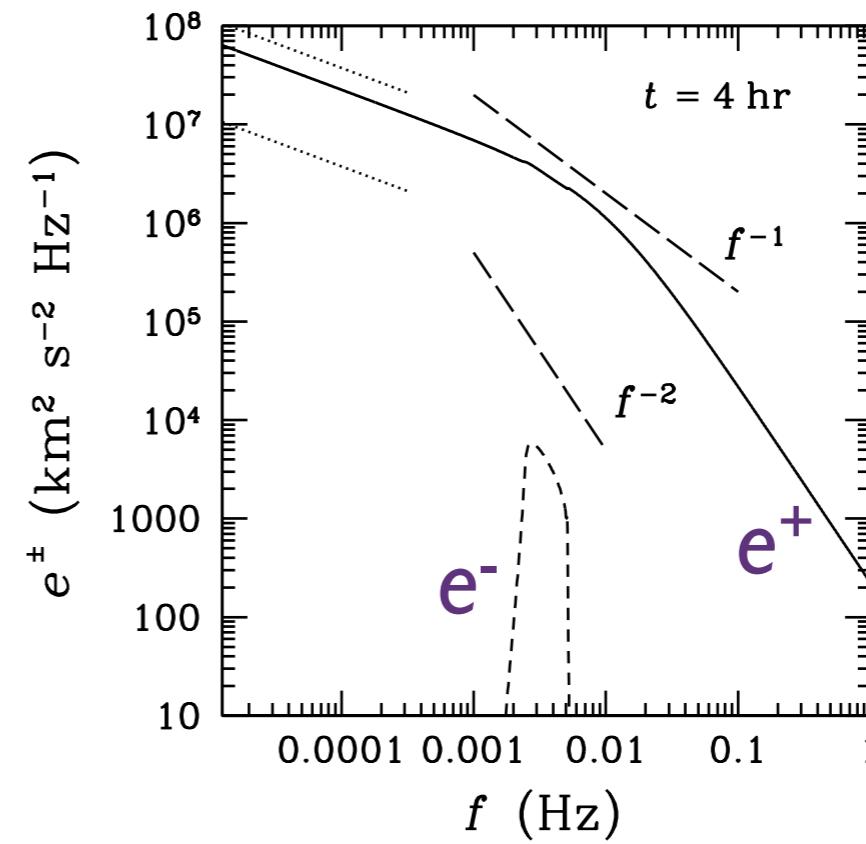
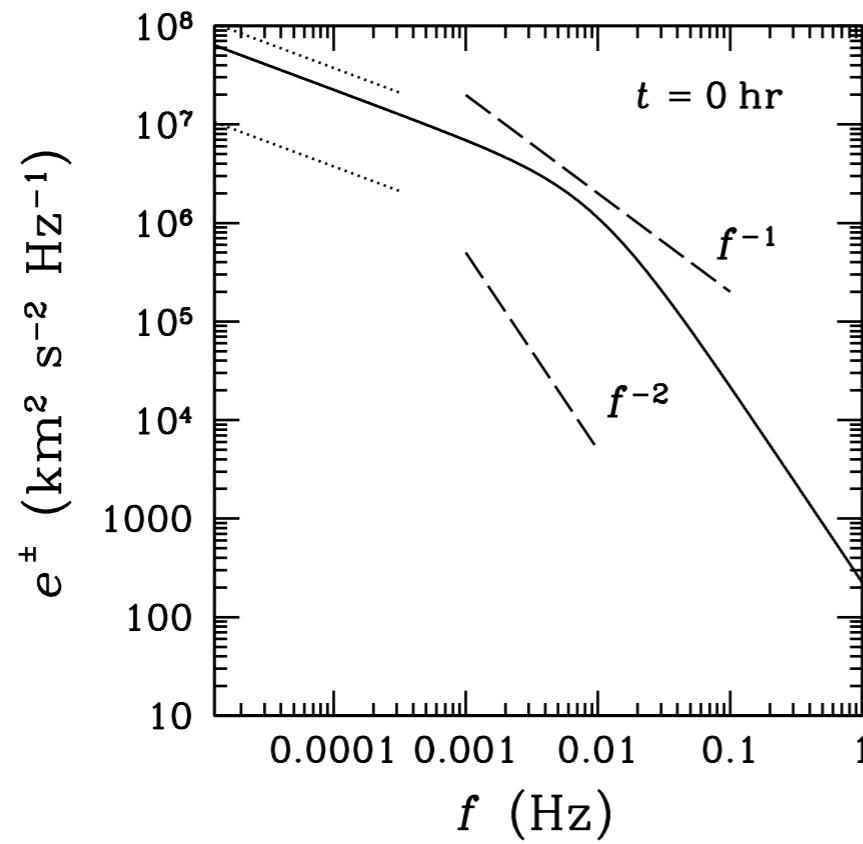
Linear stage: the inward waves grow fastest at the largest wavenumbers where the spectrum is flatter than 1/f.

# Numerical Solution of the Nonlinear Evolution

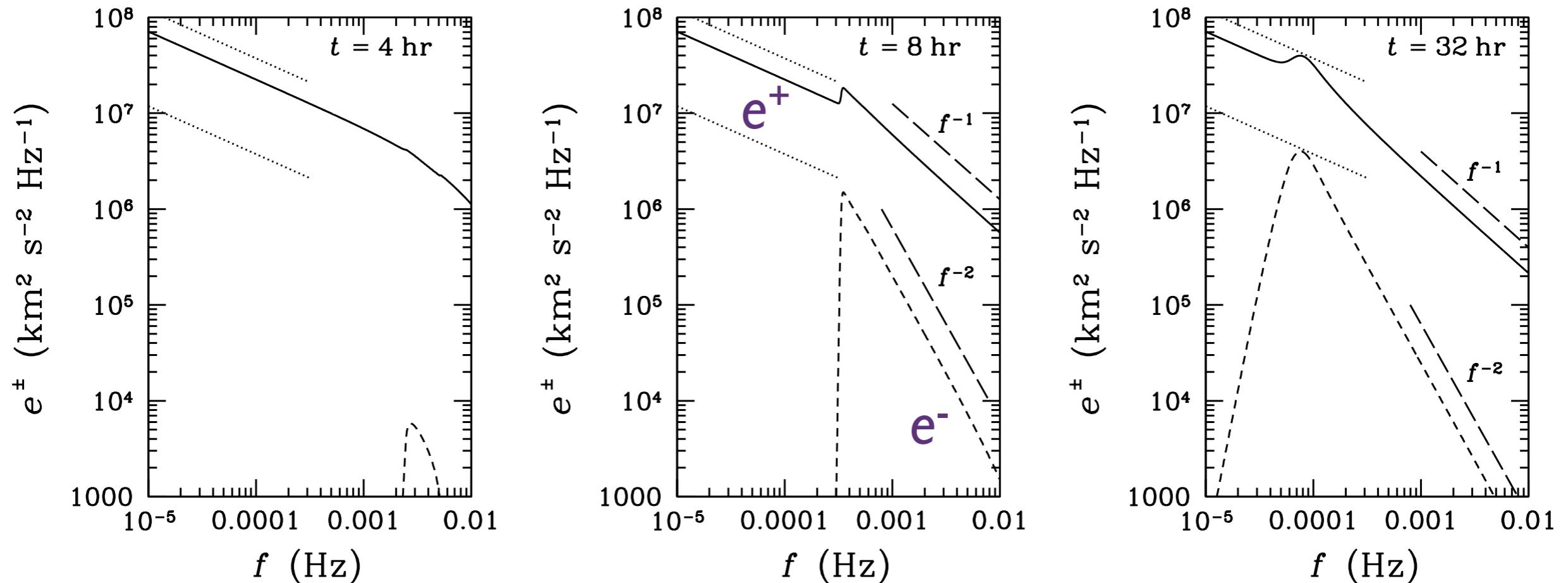


The outward-going “pump waves” acquire a  $1/f$  spectrum in a form of quasilinear “flattening”

# Numerical Solution of the Nonlinear Evolution

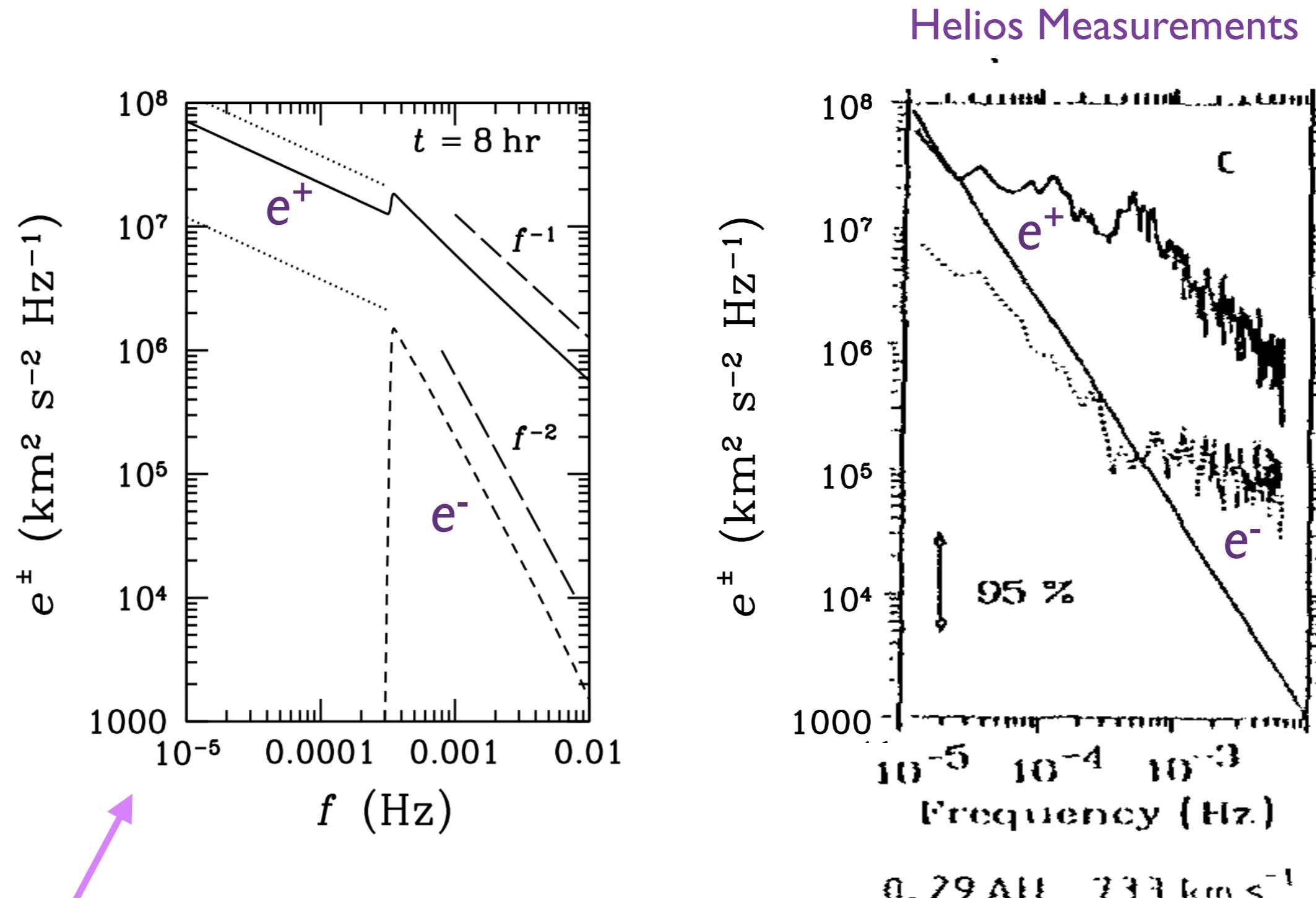


# Same Simulation, Plotted over a Smaller Frequency Range, out to 32 Hrs



dotted lines in upper left show evolutionary tracks of  
spectral peaks in an approximate analytic solution

# Comparison Between Numerical Solution and Helios Measurements



Alfven speed = 150 km/s. Initial dominant frequency (maximum of  $f \times E_f$ ) is 0.01 Hz.  
Alfven travel time to 0.29 AU is 12 hours.

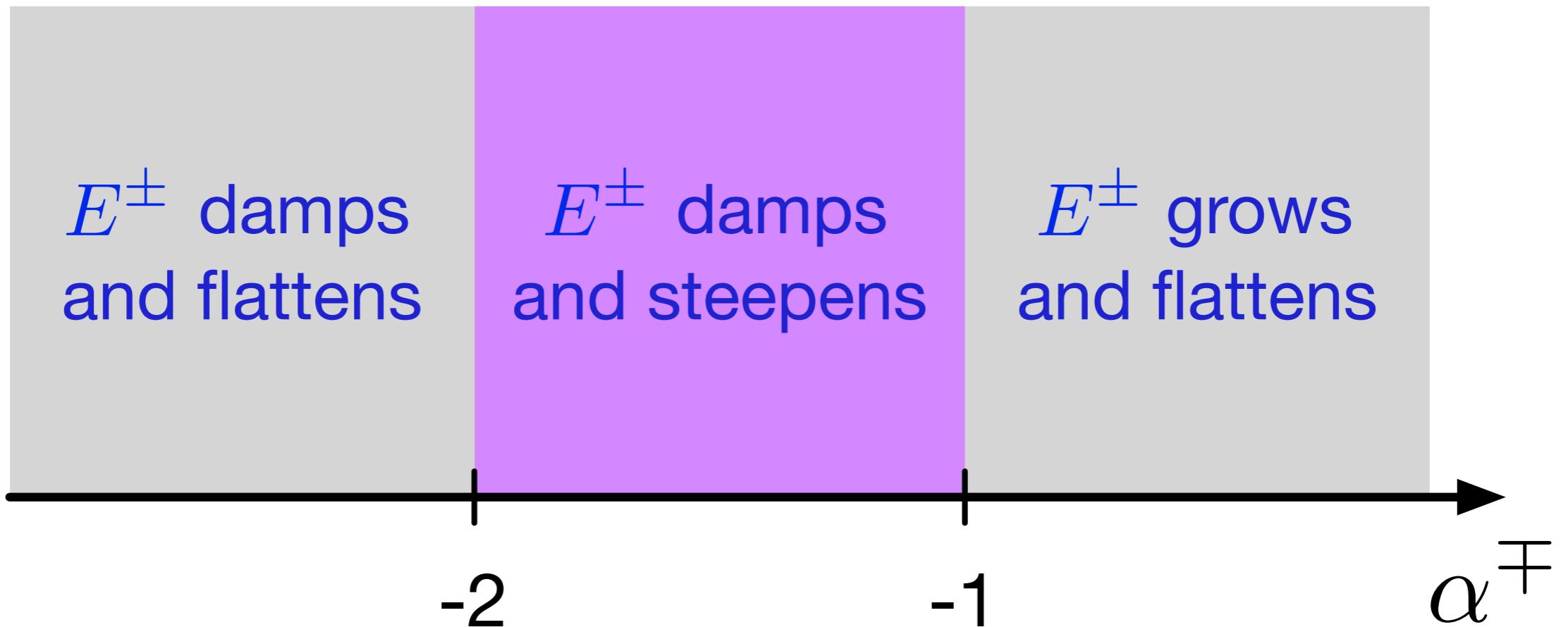
Tu & Marsch (1995)

(Chandran 2018)

# Nonlinear Evolution of the Parametric Instability

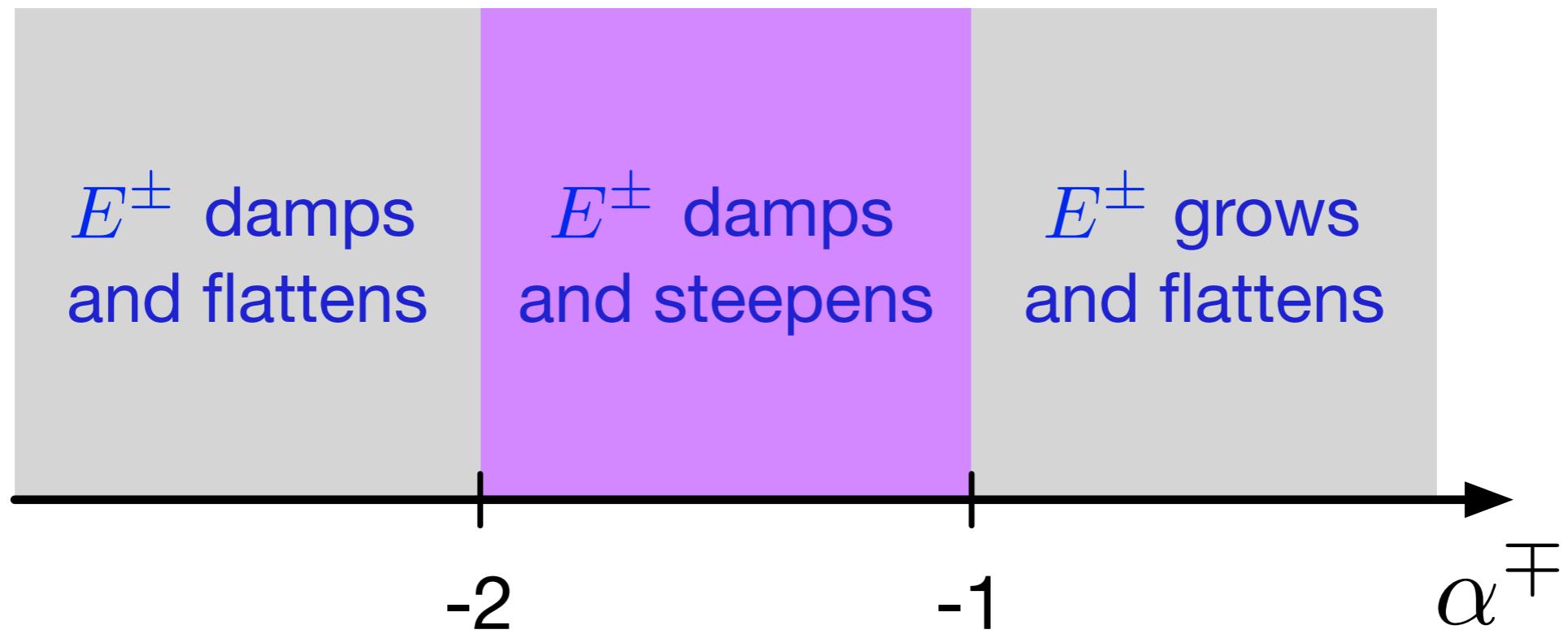
$$\frac{\partial E^\pm}{\partial t} = \frac{\pi}{v_A} k_z^2 E^\pm \frac{\partial}{\partial k_z} (k_z E^\mp)$$

$$E^\mp \propto k_z^{\alpha^\mp} \rightarrow \frac{\partial}{\partial t} \ln E^\pm \propto (1 + \alpha^\mp) k_z^{2 + \alpha^\mp}$$



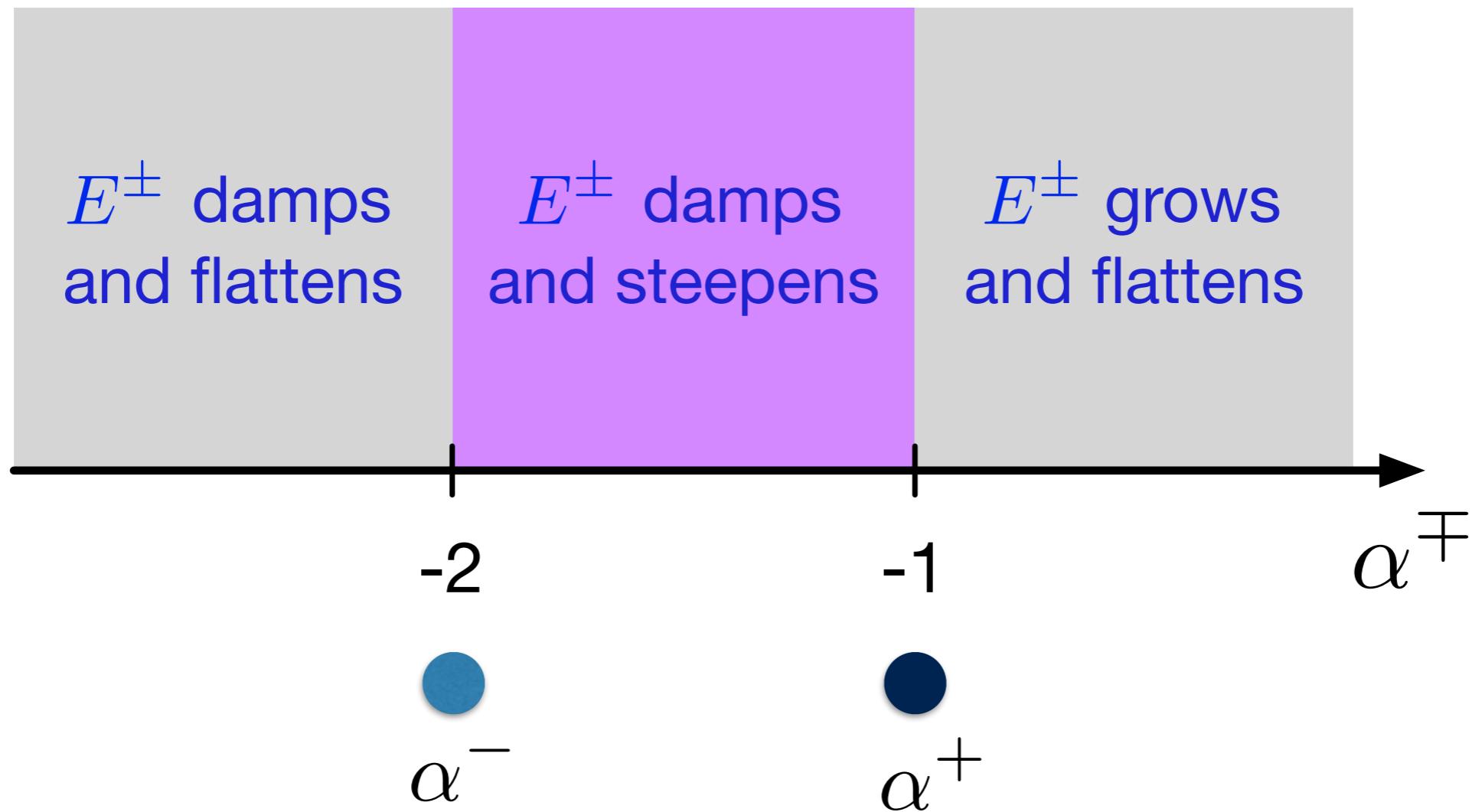
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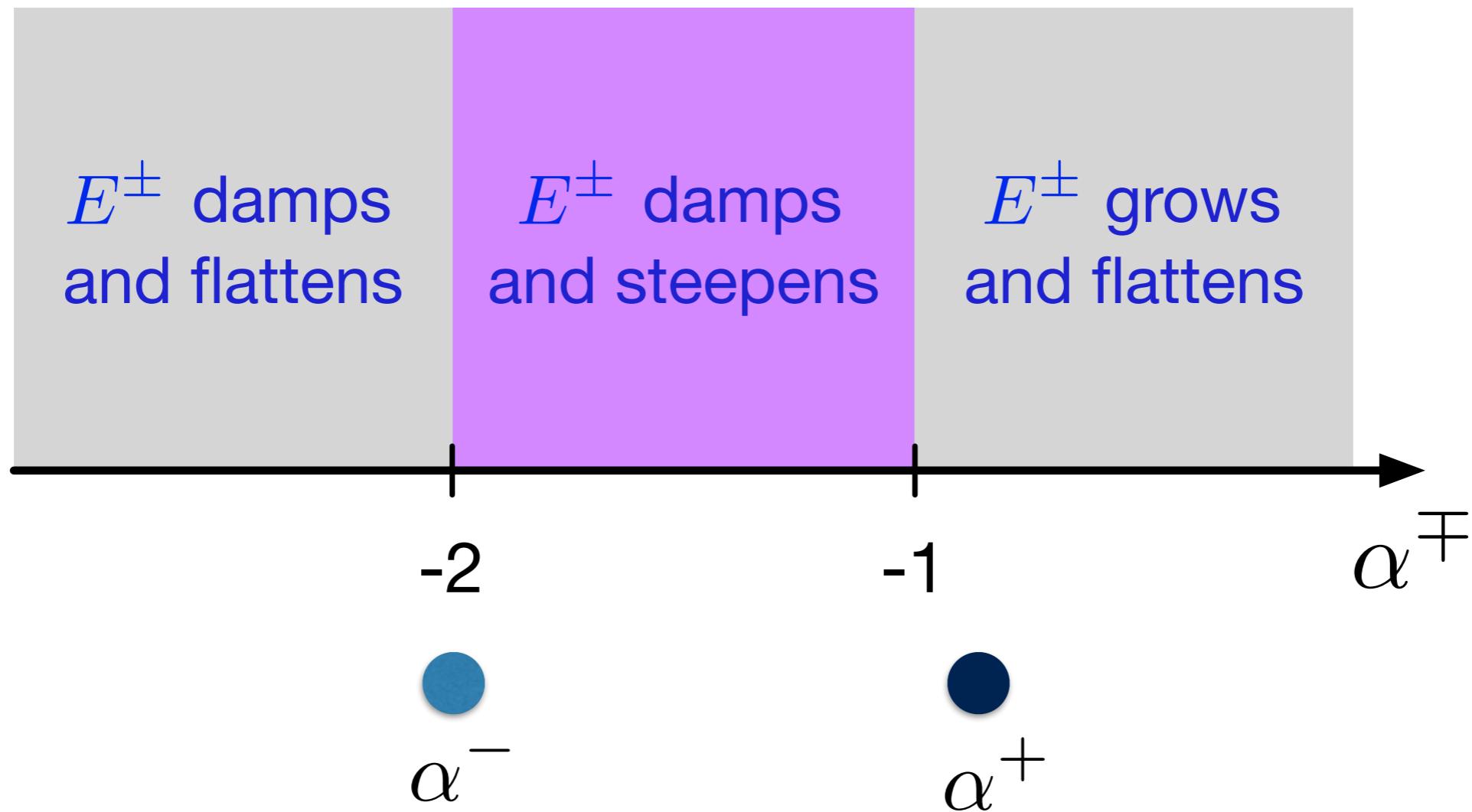
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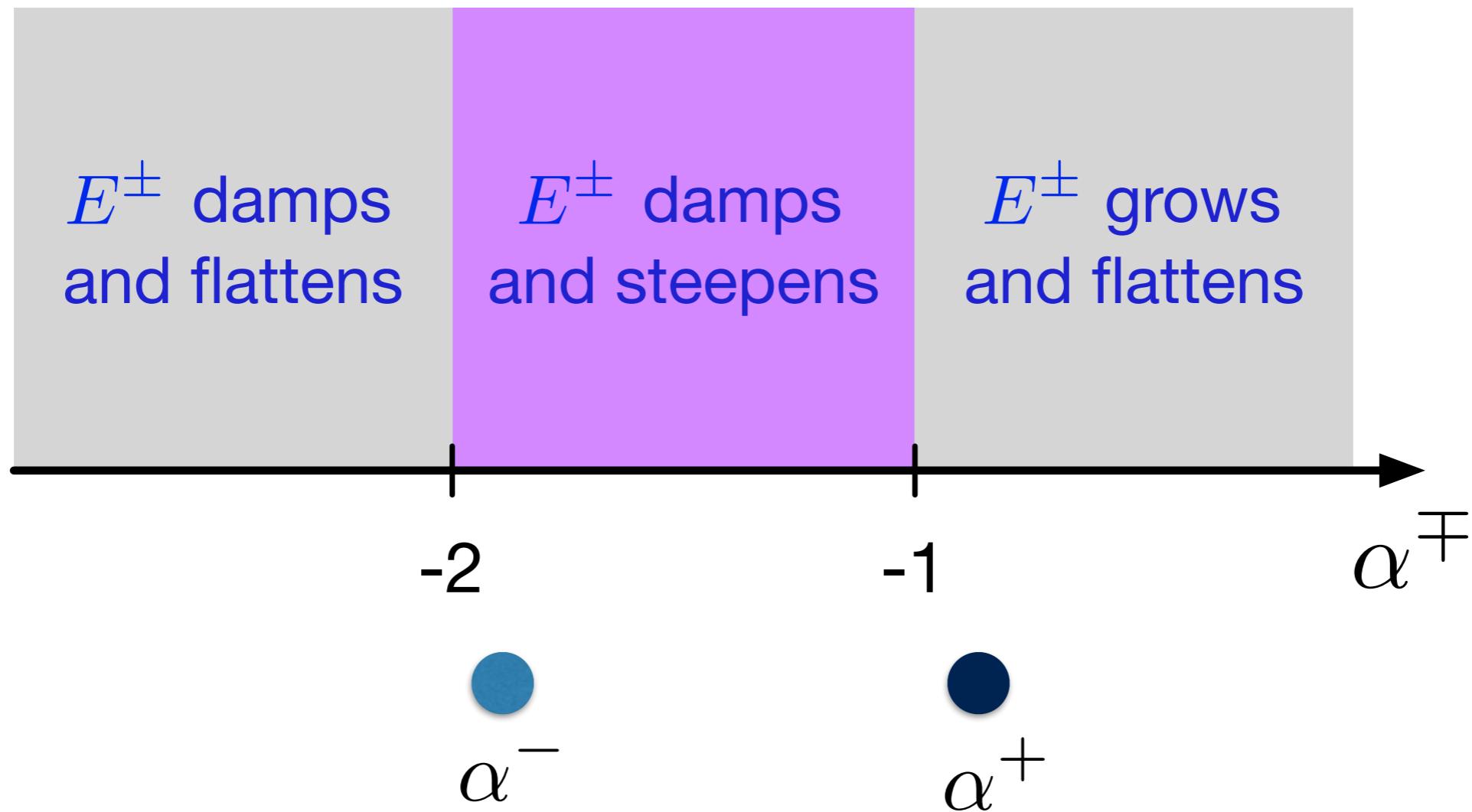
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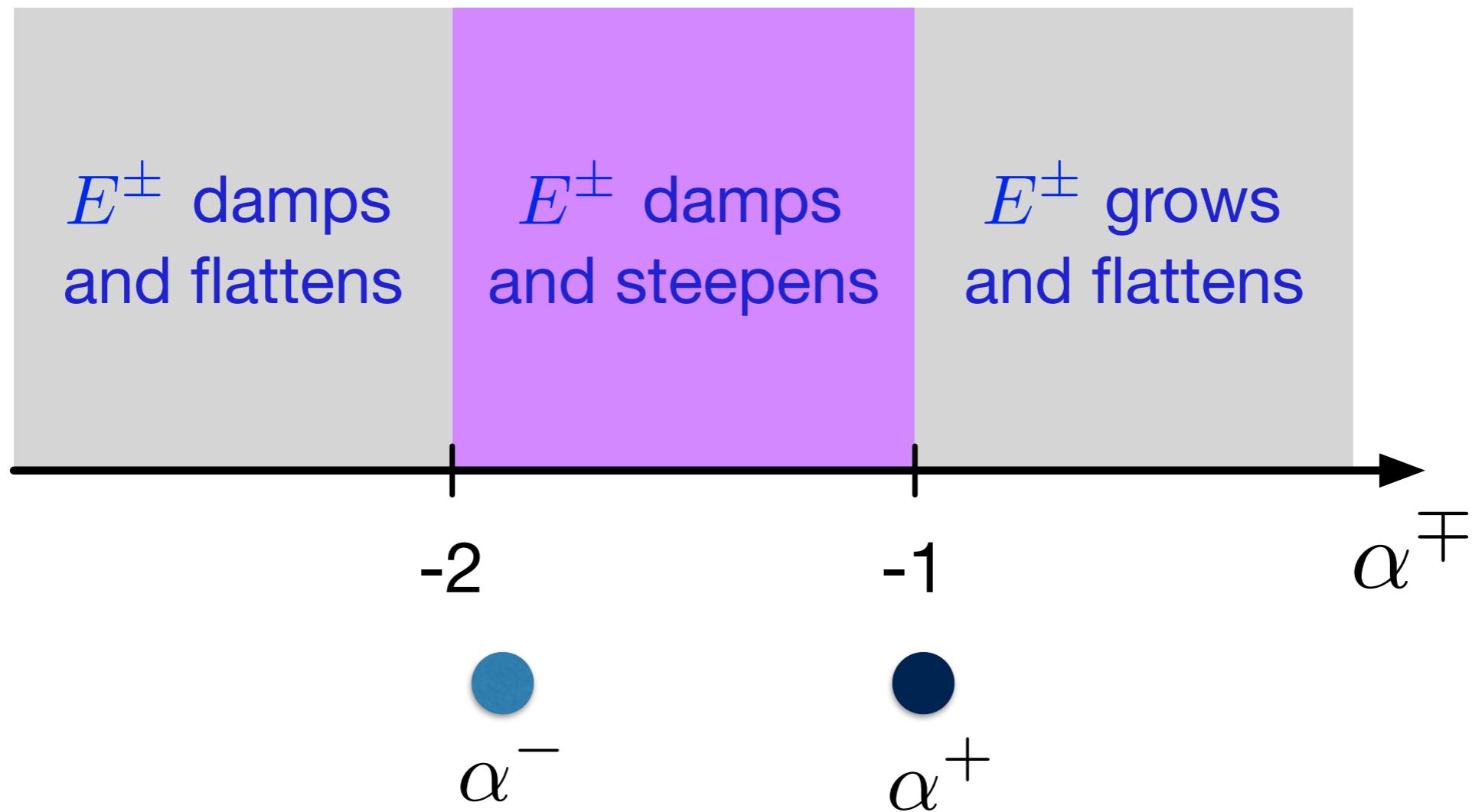
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# Many Open Questions...

- errors introduced by weak turbulence theory
- finite beta effects
- better treatment of slow-wave damping
- interplay between parametric instability and other types of nonlinear interactions, as well as linear non-WKB reflection.
- effects of solar-wind expansion and radial evolution
- saturation into arc-polarized waves? (Tenerani & Velli 2017)
- connection to velocity spikes? (Horbury talk)

# Predictions

- In low-latitude fast wind streams, a  $1/f$  magnetic spectrum at sub-hour timescales ( $f > 0.0003$  Hz) emerges dynamically between 10 Rs and 60 Rs via parametric instability and inverse cascade. (The  $1/f$  scaling is a marginally stable state for the parametric instability.)
- The  $1/f$  range is much broader at 60 Rs than at 10 Rs.
- The  $1/f$  range spreads out in both directions from the initial energy-dominating frequency (at which  $f_{ef}$  is maximized). As PSP gets closer to the Sun, the  $1/f$  range that it sees in fast wind will narrow from both the high and low-frequency ends, eventually disappearing at small enough r.